

China Securities Depository & Clearing
Corporation Limited Shanghai Branch

Participant Remote Operation Platform
PROP (Overseas Version)
Operation Manual

June, 2006



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CHAPTER ONE INTRODUCTION

1.1 Compiling Purpose

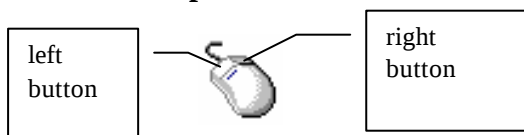
This user manual is compiled for the software of "PROP Overseas B Share System Module of China Securities Depository & Clearing Corporation Limited Shanghai Branch", integrating all steps of installation, operation and maintenance of PROP overseas B Share system module with detailed and comprehensive descriptions. The manual is not only a text book to learnt about PROP overseas B Share system module, but also an essential instruction documents for PROP operating staff. Operators should carefully read the manual before entering PROP overseas B Share system module to process various business.

As the system is developed on WINDOWS 2000 platform, users are suggested to refer to books on Windows in the case of any operation procedures or terms about WINDOWS that are difficult to understand. Considering the hastiness in compiling, users are more than welcome to point out any mistakes found in the manual.

1.2 Definitions of Typical Terms

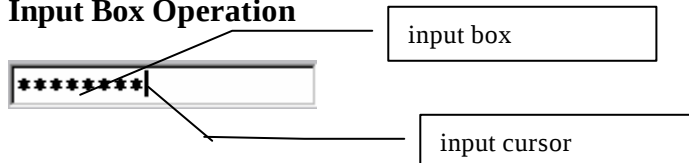
1. Operating Practice

Mouse Operation



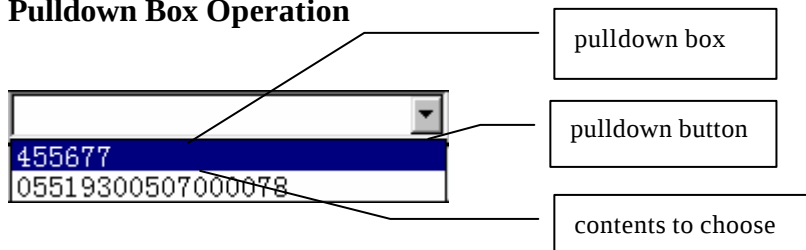
1. Click: press and release the left button once;
2. Double Click: quickly press and release the left button twice;
3. Click the Right Button: press and release the right button once;

Input Box Operation



Firstly click the input box for input operation; a flash I-shape cursor will appear in the input box which is allowable to input, and characters the user input will be displayed on the location of input cursor; "*" will be displayed in password input box.

Pulldown Box Operation





Click the pulldown button, move the pointer on contents to choose, and click a highlighted item to choose.

2. Symbols Description



mouse pointer, normal operation



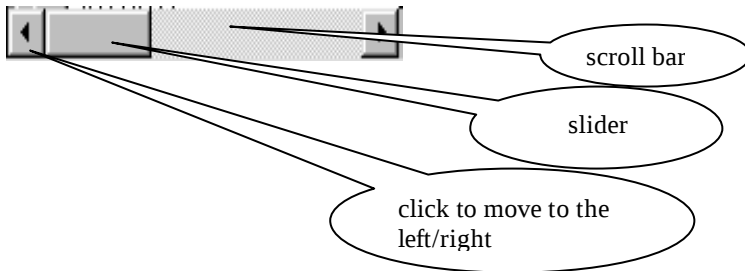
mouse pointer, system busy



operating button, click the left button



highlighting display, white-on-blue items generally indicates the selected ones



CHAPTER TWO OPERATION ENVIRONMENT

PROP overseas B Share system module provides customers with network operation environment, which is connected with the host computers of China Securities Depository & Clearing Corporation Shanghai Branch through remote dial-up network/DDN/Internet (as shown in Figure 1).

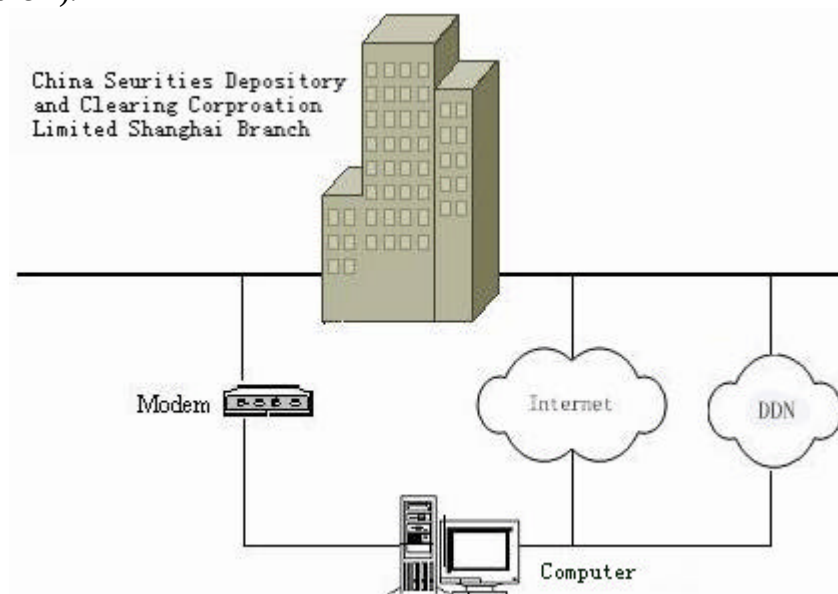


Figure 1 Connection between overseas B Share system and CSDCC SH

Recommended computer configuration for overseas B Share system: with a Pentium III 800MHz CPU or above, a 128M memory or above, a 20G hard disk or above, a network card if using DDN or Internet, a modem if using dial-up connection.

The computer operation environment for overseas B Share system is Windows 2000 or Windows XP, which is connected with the host computers of China Securities Depository & Clearing Corporation Shanghai Branch through remote dial-up network , DDN or Internet.

The system, with high-level security specifications, features the technologies of multi identity authentication and data encryption, so the user should guarantee integrity of the system and never abuse it for other purposes or modify software and hardware settings of the system without authorization. The user should also keep password firmly in mind and strictly obey operation rules of the system. Any attempt to attack security mechanism of the system is illegal.

The user will be involved in some computer terms and computer operation knowledge during operation, such as characters, files, recording, log-in, system setup, computer start/shutdown, dial-up network, operating software, operation files, etc. As to anything unclear, operator could read computer-related books in advance.



CHAPTER THREE SYSTEM INSTALLATION PROCEDURES

- 1.System software installation (Windows 2000 and Windows XP installation), MODEM installation/dial-up network configuration/testing.
- 2.PROP overseas B Share system module installation.
- 3.Initialize certificate.

CHAPTER FOUR INSTALLATION ITEMS

4.1 System Software Installation

1. Windows 2000 installation
Refer to Microsoft Corporation's technical manual.
2. Windows XP installation
Refer to Microsoft Corporation's technical manual.
3. Modem installation and dial-up network configuration
See Appendix A and B.

4.2 PROP Software Installation

1. Find the directory that contains the installation software in My Computer or Explorer. Click BGJW12000W.EXE. An installation wizard screen appears.
2. Click "Next". The "Start Installation" screen appears. begin to install with a click on "Next".
3. An installation indicating bar appears.
4. Installation completion message appears. Restart the computer.

4.3 PROP Certificate

To manage PROP Certificate, including certificate apply, update and query.

Prior to login PROP Certificate, please connect to the system of China Securities Registration & Clearing Corporation Shanghai Branch through dial-up network.

Refer to Appendix B for dial-up network setup.
Double click the PROP dial-up icon on desktop. Type "remote" as User Name and leave Password empty.

Put "Dean Key" device into USB port.

Select [Start] Menu → Programs → PROP → PROP Certificate. The following Certificate Manager Login screen will be displayed.

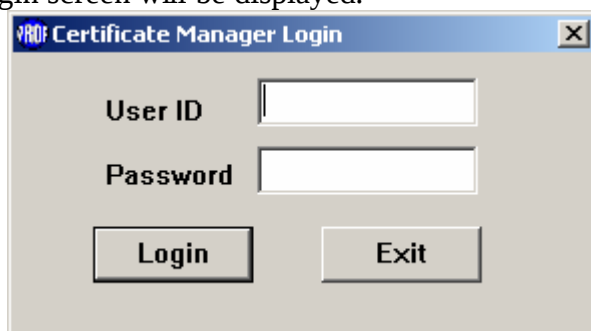


Figure 4-1

Input user code in [User ID] field and input user's password in [Password] field. The initial password is the same as the user name. Click [Login] button. The following screen will be displayed.

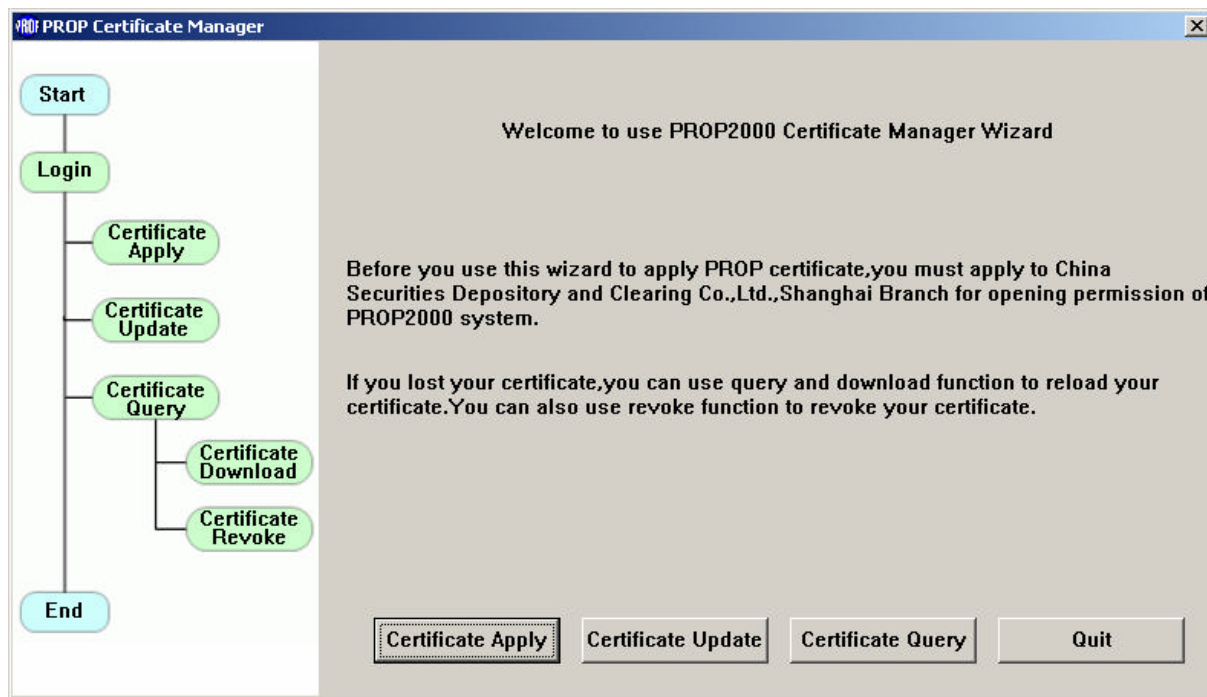
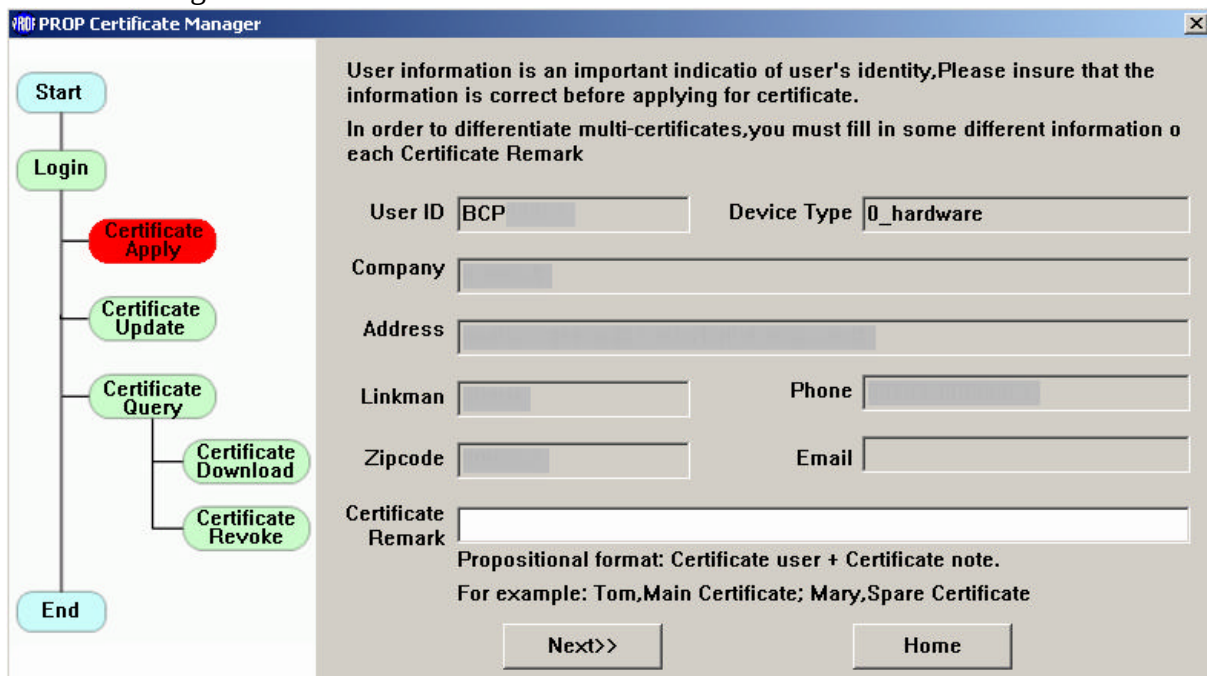


Figure 4-2

4.3.1 Certificate Apply

Step One

Click [Certificate Apply] button. The system displays users' information acquired remotely from China Securities Depository & Clearing Corporation Limited Shanghai Branch, as shown in Figure 4-3.



The screenshot shows the 'PROP Certificate Manager' window with the 'Certificate Apply' button highlighted in red in the navigation tree. The main area displays a message: 'User information is an important indication of user's identity, Please insure that the information is correct before applying for certificate. In order to differentiate multi-certificates, you must fill in some different information on each Certificate Remark'. Below this is a form with the following fields: User ID (BCP), Device Type (0_hardware), Company, Address, Linkman, Phone, Zipcode, Email, and Certificate Remark. The Certificate Remark field has a placeholder text: 'Propositional format: Certificate user + Certificate note. For example: Tom, Main Certificate; Mary, Spare Certificate'. At the bottom, there are two buttons: Next>> and Home.

Figure 4-3

Please confirm the user information displayed by the system before continuing the next step. If the user code and the company name are not in line with the user's actual information, Please promptly contact the technology department of China Securities Depository & Clearing Corporation Limited Shanghai Branch.

device type will be set up by China Securities Depository & Clearing Corporation Limited Shanghai Branch. The device type of overseas B Share system is "hardware". Please input the Certificate Remark according to the Propositional format as follow.

Step Two

Click [Next] button. The system displays the device used now, as shown in Figure 4-4.

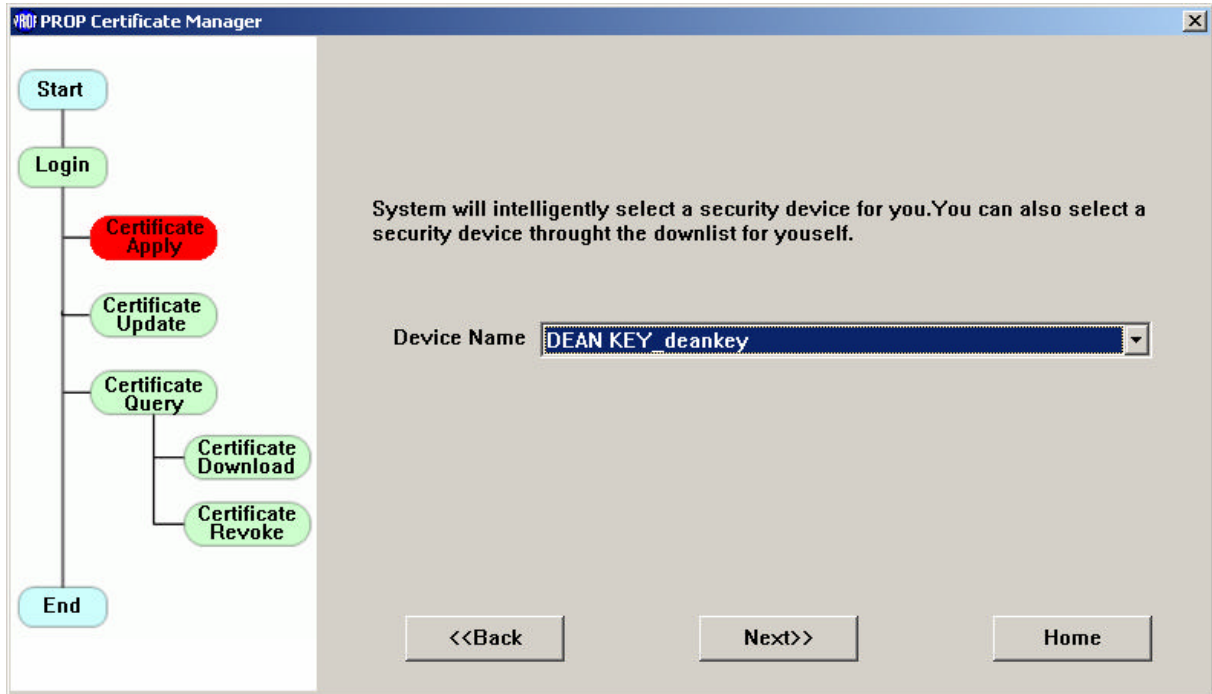


Figure 4-4

Step Three

Click [Next] button. The following screen will be displayed.

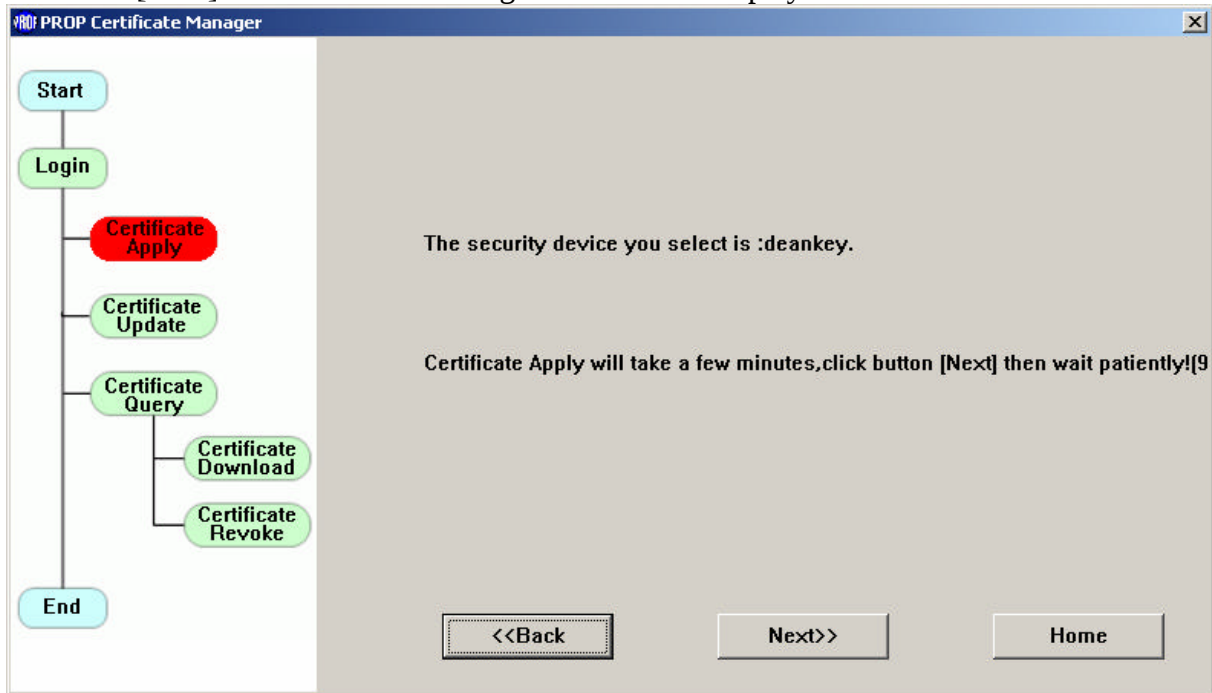


Figure 4-5

If the [Back] button is clicked, the system will regard that the user has not done any operation.

Step Four

Click [Next] button. The following screen will be displayed.

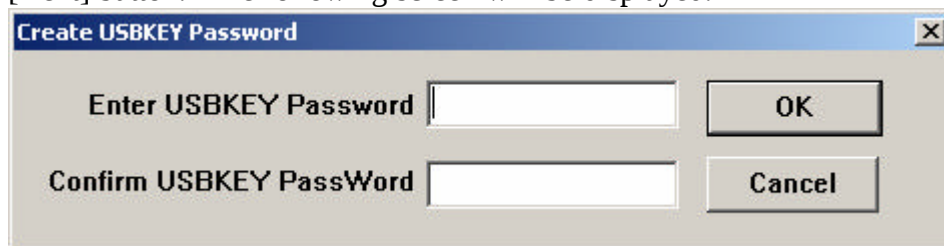
A dialog box titled "Create USBKEY Password" with a close button (X) in the top right corner. It contains two text input fields. The first field is labeled "Enter USBKEY Password" and the second is labeled "Confirm USBKEY PassWord". To the right of the first field is an "OK" button, and to the right of the second field is a "Cancel" button.

Figure 4-6

Input Dean Key code in [Enter USBKEY Password] field and [Confirm USBKEY Password] field. The initial password need you set by yourself, don't forget this password, otherwise, it will bring trouble to you.

Step Five

Click [OK] button. The system will apply certificate online.

For certificate initialization, a screen as shown in Figure 4-7 will be displayed if certificate request succeeded.



Figure 4-7

Click [OK] button to exit certificate initialization.

4.3.2 Certificate Update

Prior to certificate update, connection with the system of CSDCC SH through dial-up network or Internet is required.

The system provides the automatic online update function

In normal condition, the system updates certificate once every two years. The user could also update it through automatic online update if the current certificate is thought to be unsafe.

In PROP Certificate Manager, as shown in Figure 4-2. Click [Certificate Update] button. An update certificate login screen as shown in the following figure will be displayed.

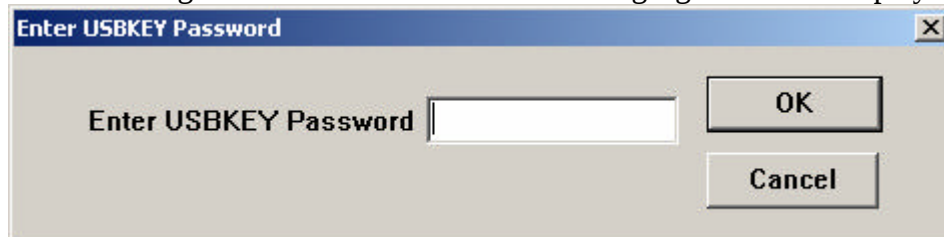
A dialog box titled "Enter USBKEY Password" with a close button (X) in the top right corner. It contains a single text input field labeled "Enter USBKEY Password". To the right of the field are two buttons: "OK" and "Cancel".

Figure 4-8

Make sure that the Dean Key has been inserted into USB port. Input the password of the USBKEY.

Click [OK], The system displays users' information acquired remotely from CSDCC SH, as shown in Figure 4-9.

The screenshot shows the 'PROP Certificate Manager' window. On the left is a flowchart with the following steps: Start (blue), Login (green), Certificate Apply (green), Certificate Update (red), Certificate Query (green), Certificate Download (green), Certificate Revoke (green), and End (blue). On the right is a form with the following fields and text:

User information is an important indication of user's identity, Please insure that the information is correct before applying for certificate.
In order to differentiate multi-certificates, you must fill in some different information on each Certificate Remark

User ID: Device Type:

Company:

Address:

Linkman: Phone:

Zipcode: Email:

Certificate Remark:

Propositional format: Certificate user + Certificate note.
For example: Tom, Main Certificate; Mary, Spare Certificate

Buttons: Next>> and Home

Figure 4-9

Please confirm the user information displayed by the system before continuing the next step. If the user code and the company name are not in line with the user's actual information, please promptly contact the technology department of China Securities Depository & Clearing Corporation Limited Shanghai Branch.

Device type will be set up by China Securities Depository & Clearing Corporation Limited Shanghai Branch. The Encrypt type of overseas B Share system is "hardware". The Certificate Remark can be modified directly.

Click [Next] button. The system displays the device used now, as shown in Figure 4-10.

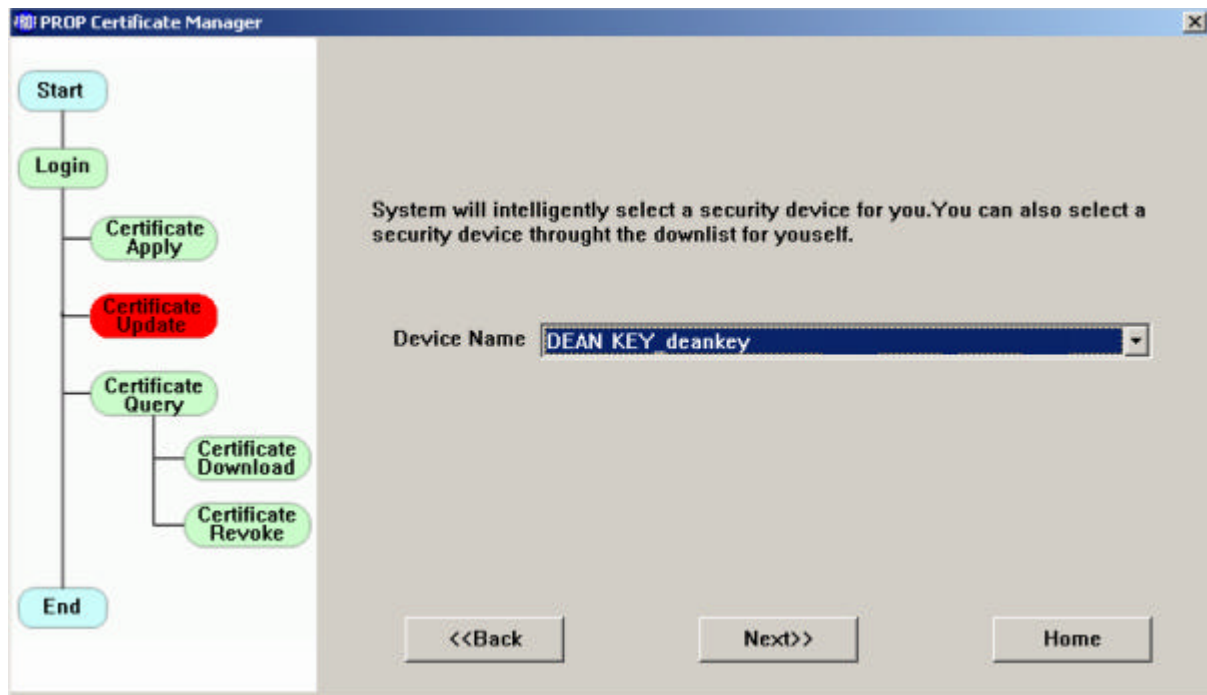


Figure 4-10

Click [Next] button. The following screen will be displayed.

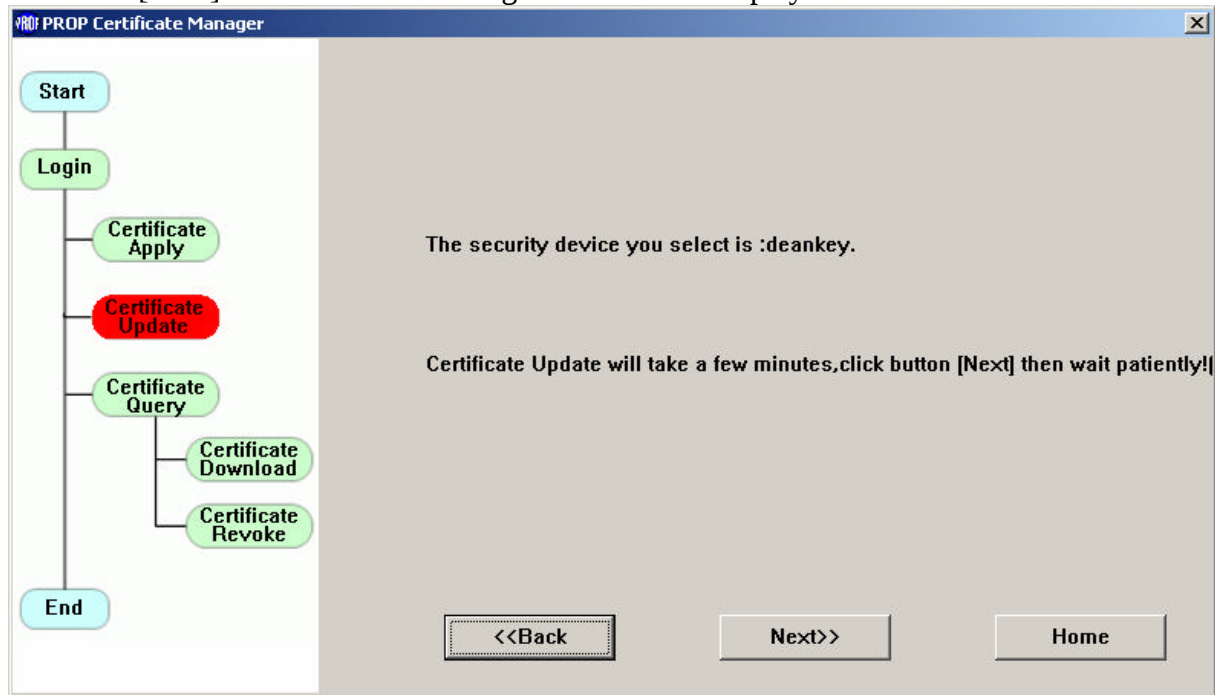


Figure 4-11

Click [Next] button. The system automatically updates the security certificate; if the [Back] button is clicked, the system will regard that the user has not done any operation. After about a minute, a success message as shown in the following figure will be displayed.



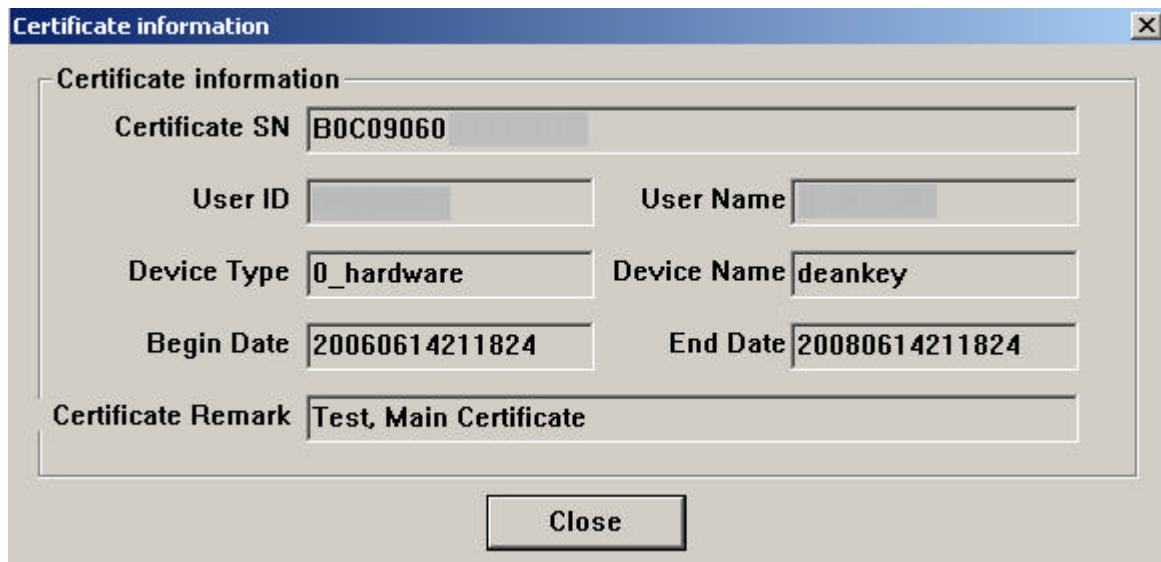
4.3.3 Certificate Query

The system provides the online certificate query function.

Click [Query] button, the system displays the query result, as shown in Figure 4-13.

Figure 4-13

13



The 'Certificate information' dialog box displays the following fields:

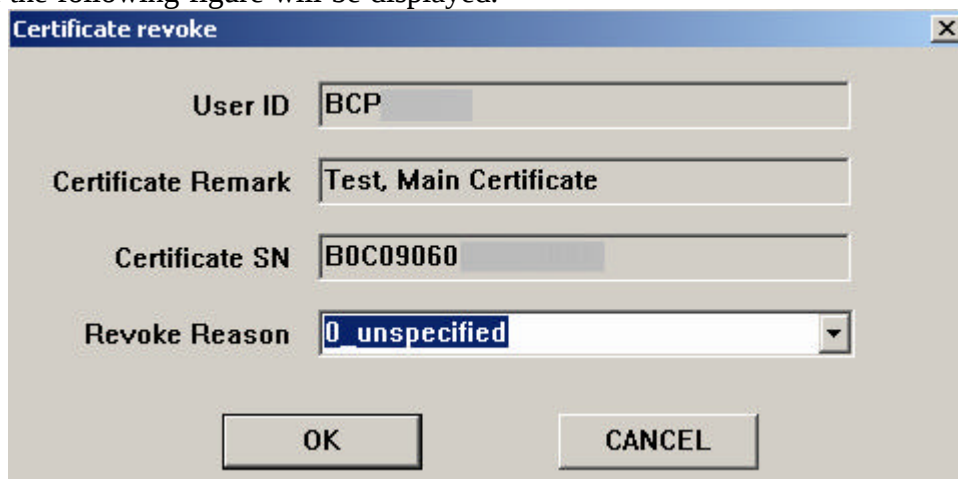
Field	Value
Certificate SN	B0C09060
User ID	
User Name	
Device Type	0_hardware
Device Name	deankey
Begin Date	20060614211824
End Date	20080614211824
Certificate Remark	Test, Main Certificate

A 'Close' button is located at the bottom center of the dialog.

Figure 4-14

Select a record in the list, and click [Certificate Download]. The Certificate can be downloaded. When PROP files lost in your pc with some reason, you can use this function.

Select a record in the list, and click [Certificate Revoke]. A certificate revoke message as shown in the following figure will be displayed.



The 'Certificate revoke' dialog box displays the following fields:

Field	Value
User ID	BCP
Certificate Remark	Test, Main Certificate
Certificate SN	B0C09060
Revoke Reason	0_unspecified

Buttons 'OK' and 'CANCEL' are located at the bottom of the dialog.

Figure 4-15

Choose the revoke reason and click [OK]. The Certificate can be revoke. If the [Back] button is clicked, the system will regard that the user has not done any operation.

When the encrypt device Dean Key has been spoiled or lost, you can use this function. Only complete this step, you can put in certificate application form to CSDCC SH and initialize certificate again.



CHAPTER FIVE INSTALLATION PRECAUTIONS

1. Remote Access Service (RAS) must be installed in the system; otherwise the software cannot work.
2. The system must create a user with administrator authority, and installation and operation of the PROP system should be processed after the user logs in.
3. Prior to certificate initialization, please connect to the system of China Securities Registration & Clearing Corporation Shanghai Branch through dial-up network.
4. During certificate initialization, if "Internal Server Error" occurs, please contact the technology department of Shanghai Securities Depository Corporation in time.



CHAPTER SIX SYSTEM FUNCTION OVERVIEW

1. Login

For operator login.

2. Bulletin

To view, print and save bulletin information of China Securities Depository & Clearing Corporation Limited Shanghai Branch.

3. User Management

To view user information and change password.

4. Files service

To view, rename, delete, send and download files.

5. General service

Providing a general file interface for various services (only Account Opening available for now). It is convenient for system function extension and connection with other service systems of members.

6. System Config

System communication parameters setting and management, data backup and restore.

7. Version Config

To download new versions of programs.

8. Logout

Exit PROP overseas B Share system.

CHAPTER SEVEN HOW TO USE

7.1 Login

Request to the system of China Securities Depository & Clearing Corporation Limited Shanghai Branch for login.

Select [Login] button in the main screen, and a [PROP Login] screen as shown in Figure 7-1 will be displayed.

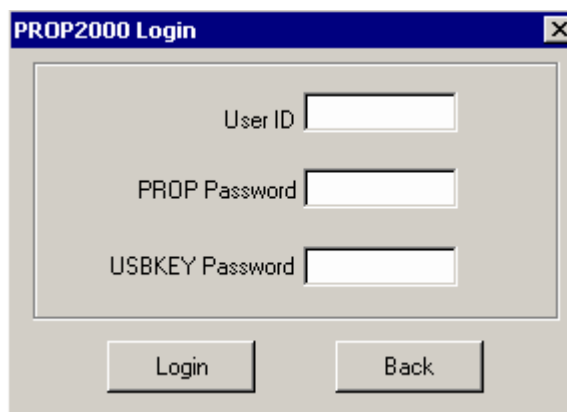


Figure 7-1 System Login Screen

Screen Information Description:

1. User ID

User ID is the user's code.

2. PROP Password

The PROP Password is login password. The password inputted by the operator will be displayed as "*" in the password box to enhance security of the user's password plaintext. The operator's initial password can be changed in [User Management].

3. USBKEY Password

The USBKEY Password is the key's password. The password inputted will be displayed as "*" in the password box to enhance security of the key's password plaintext. The password should be settled when initialize certificate.

4. Login/Back

Click [Login] button, and the system will log in. If the [Back] button is clicked, the system will regard that the user has not logged in.

After the system indicates that login succeeds, other functions of the system can work.

7.2 Bulletin

To view, print and save bulletin of China Securities Depository & Clearing Corporation Limited Shanghai Branch.

Select [Bulletin] button in the main screen, and the system will display directory information in the bulletin of the server of CSDDC SH as shown in Figure 7-2. Bulletin of the latest five years is listed in order by year. The directory of NEW contains the latest bulletin files.



The screenshot shows a window titled "Bulletin" with a directory tree on the left and a table of files on the right. The directory tree includes folders like "tools", "new", "documents", "2005", "2003", and "2002". The table lists files with their names, sizes, and create dates. Files marked with "NEW" in red indicate they are new or unread.

File Name	Size	Create Date
tools	0	20041229
new	0	20050422
NEW fweqrfwe-wreqwrqwr.doc	495104	20050406
NEW 2003042901-Labor Day Notice.rtf	6899	20030916
NEW 2003042404-Notification on Change of PROP Dial-up Number.rtf	17879	20030916
NEW 2003040101-Notice on PROP system upgrading.rtf	14814	20030916
NEW 2002122701-B share Settlement Holiday Notice for 2003.rtf	21712	20030916
NEW 85904238091234-helloli!.doc	21504	20050411
NEW 55324532tert-twretwetwtwt.doc	495104	20050413
documents	0	20050422
2005	0	20050422
2003	0	20041229
2002	0	20041229

Figure 7-2 Bulletin Information Screen

Click a directory to choose it, and double click the directory to list information of all files in the directory (file name, creating time and file size). A mark of **NEW** before a file name indicates the file is a new, unread file. To use this function, the operator must have the authority of Bulletin - View Directory.

View files content: click a file to choose it, and double click the message line of the file; then the system will display the file's content. To use this function, the operator must have all authorities of the bulletin.

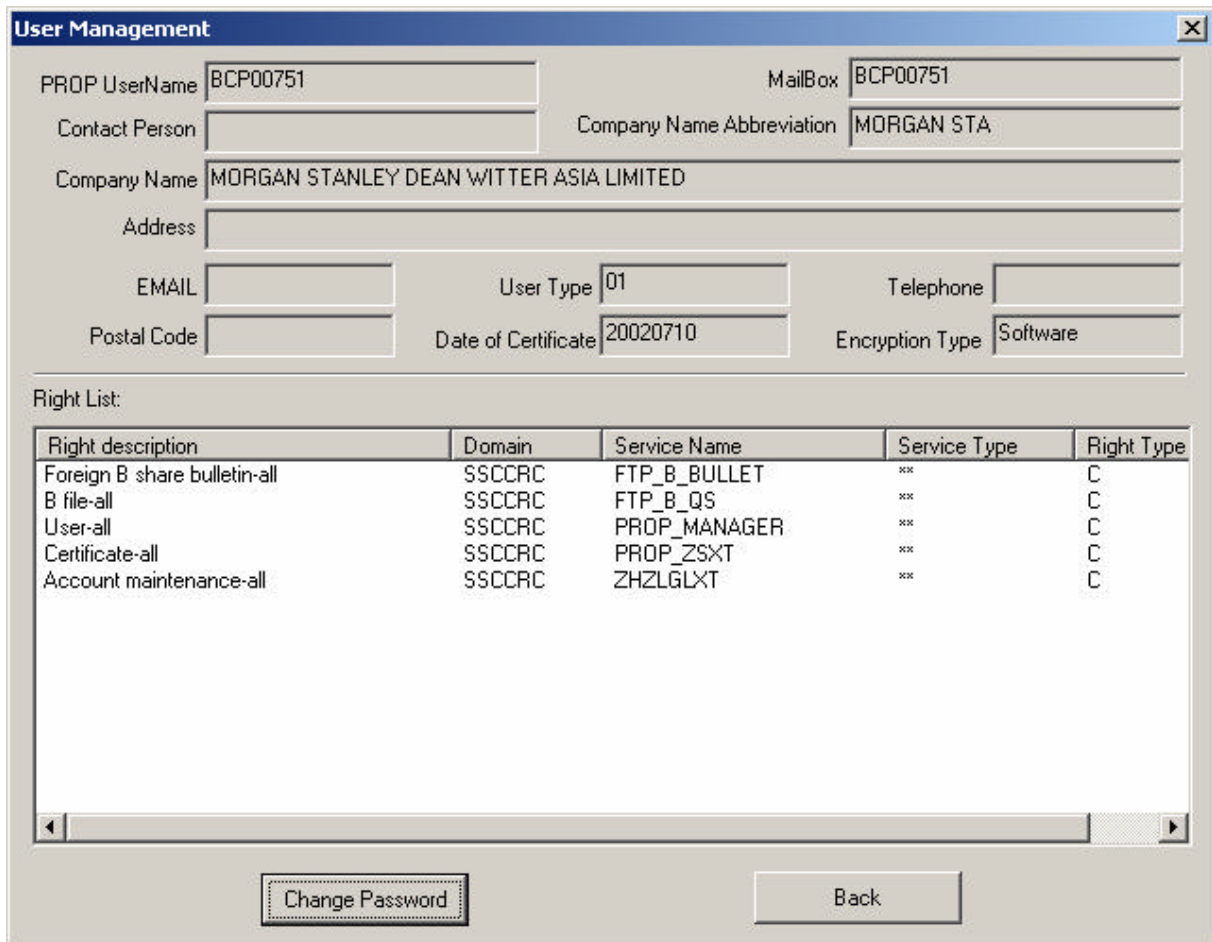
Print: click the right button in file view area; select [print], and print bulletin information through WordPad.

Save: click the right button in file view area; select [Save as] to save files by other names.

7.3 User Management

To view user information and change password.

Select [User] button in the main screen, and the system will display [User Management] screen as shown in Figure 7-3, which shows the user's information.



User Management

PROP UserName: BCP00751 MailBox: BCP00751

Contact Person: Company Name Abbreviation: MORGAN STA

Company Name: MORGAN STANLEY DEAN WITTER ASIA LIMITED

Address:

EMAIL: User Type: 01 Telephone:

Postal Code: Date of Certificate: 20020710 Encryption Type: Software

Right List:

Right description	Domain	Service Name	Service Type	Right Type
Foreign B share bulletin-all	SSCCRC	FTP_B_BULLET	xx	C
B file-all	SSCCRC	FTP_B_QS	xx	C
User-all	SSCCRC	PROP_MANAGER	xx	C
Certificate-all	SSCCRC	PROP_ZSXT	xx	C
Account maintenance-all	SSCCRC	ZHZLGLXT	xx	C

Change Password Back

Figure 7-3 User Manage Screen

Click [Change Password], and a change password dialog box as shown in Figure 7-4 will be displayed. Change the password in this dialog box.



Change Password

Old Password:

New Password:

Confirm New Password:

Ok Cancel

Figure 7-4 Change Password Dialog Box

7.4 File Service

Select [Ftp] button in the main screen, and the system will display files service dialog box as shown in Figure 7-5.

The left column of [Files Service] dialog box displays a list of the files in the local disk; the right column displays the list of the files in the user's mailbox on the server of China Securities Depository & Clearing Corporation Limited Shanghai Branch.

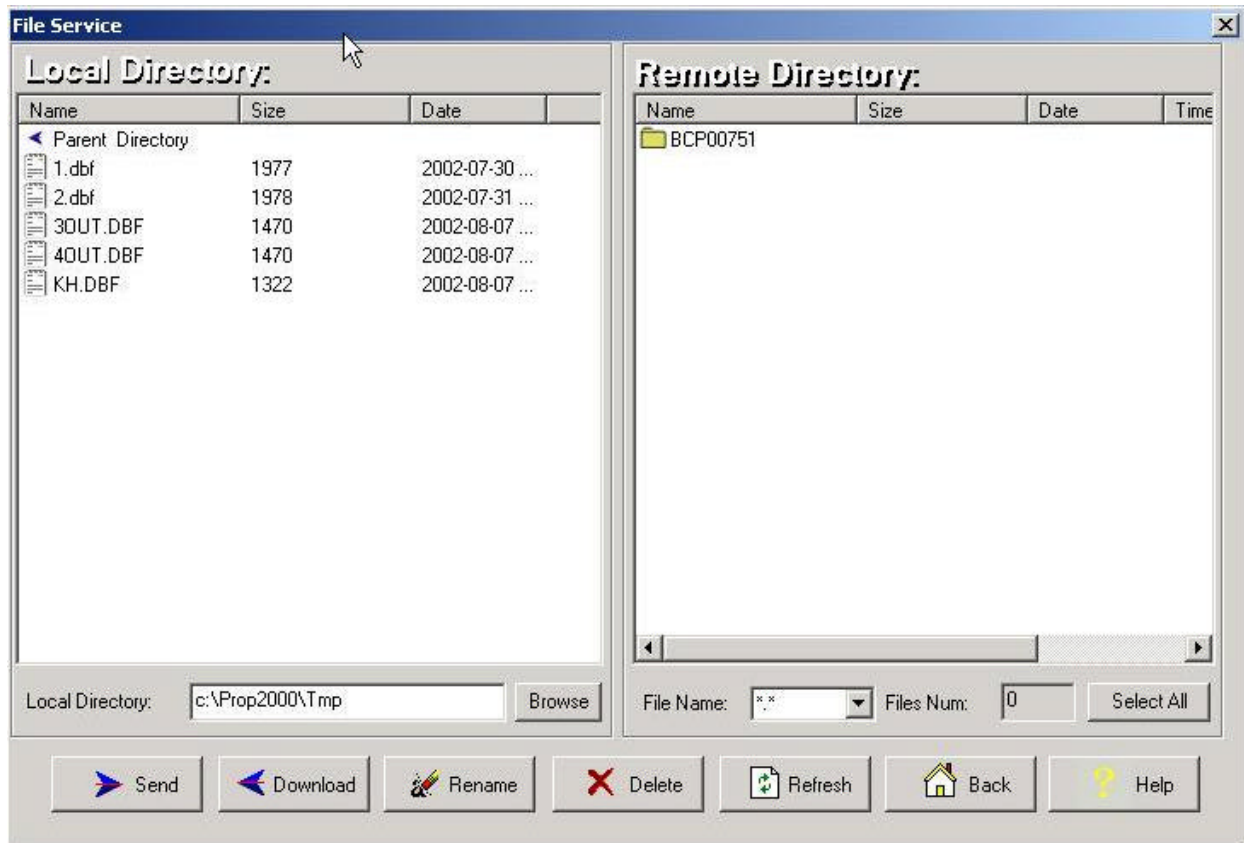


Figure 7-5 Files Service Dialog Box

File Name: user can choose to list files according to file type of remote directory list.

Refresh remote users list: click the [Refresh] button at the bottom of the dialog box to refresh the list of the user's files on the server displayed in the right column of the dialog box.

1. Send file

Send file: the user can achieve this in three ways.

- 1) Select files to send in [Local Directory] (pressing Ctrl button to select multiple files), and click [Send] button at the bottom of the dialog box. The system transmits the selected files to a corresponding directory of the server. After completion, [Transmission Finished] dialog box automatically pops up; click [OK] button.
- 2) Select files to send in [Local Directory], and click the right button to select [Send] in the menu. The system transmits the selected files to a corresponding directory of the server. After completion, [Transmission Finished] dialog box automatically pops up; click [OK] button.
- 3) Select files to send in [Local Directory]. Drag & drop the files to Remote Directory in the right column of the dialog box with the left mouse button, then release. The system transmits the selected files to a corresponding directory of the server. After completion, [Transmission Finished] dialog box automatically pops up, then; click [OK] button.

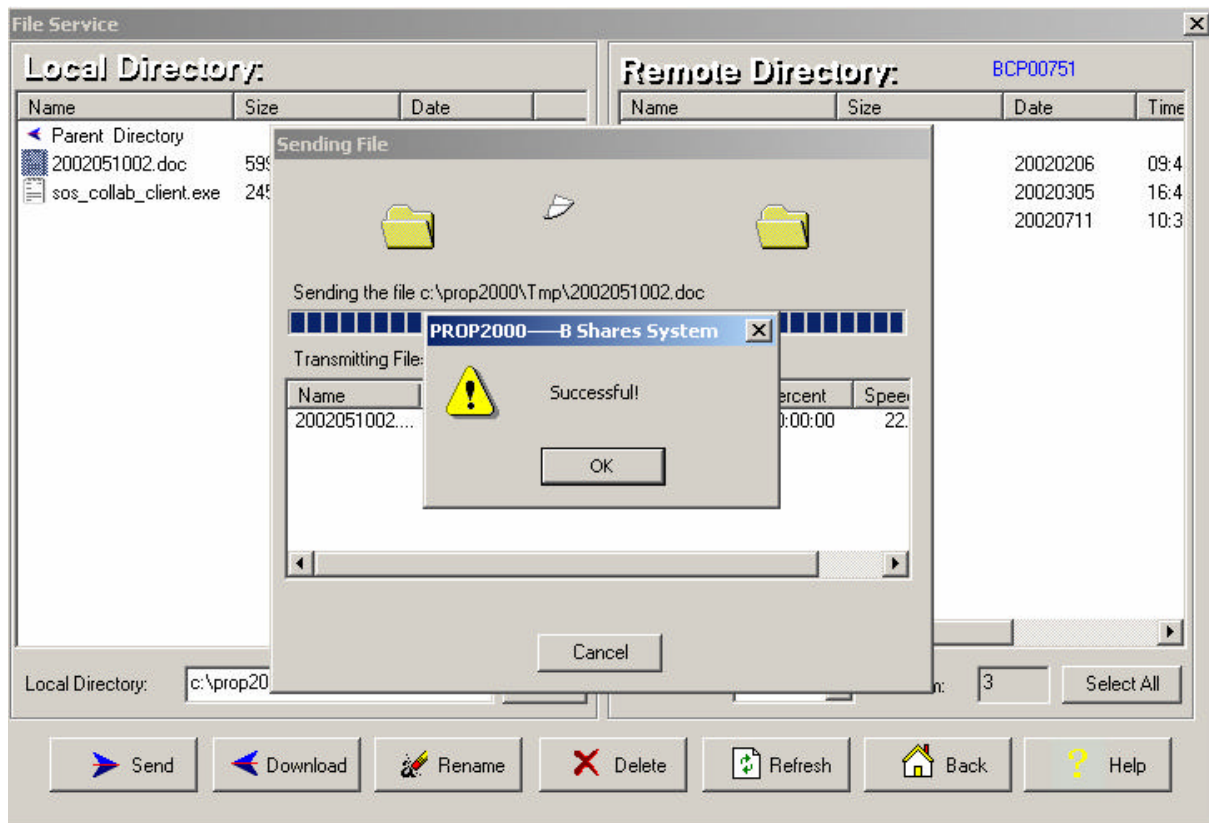


Figure 7-6 Send Files Dialog Box

2.Download file

Download file: the user can achieve this in three ways.

- 1) Select files to send in [Remote Directory] (pressing Ctrl button to select multiple files), and click [Download] button at the bottom of the dialog box. The system transmits the selected files to a corresponding directory of the local disk. After completion, [Transmission Finished] dialog box automatically pops up; click [OK] button.
- 2) Select files to send in [Remote Directory], and click the right button to select [Receive] in the menu. The system transmits the selected files to a corresponding directory of the local disk. After completion, [Transmission Finished] dialog box automatically pops up; click [OK] button.
- 3) Select files to send in [Remote Directory]. Drag the files to Local Directory in the left half of the dialog box with the left button, then release. The system transmits selected files to corresponding directory of the local disk. After completion, [Transmission Finished] dialog box automatically pops up, then click [OK] button.

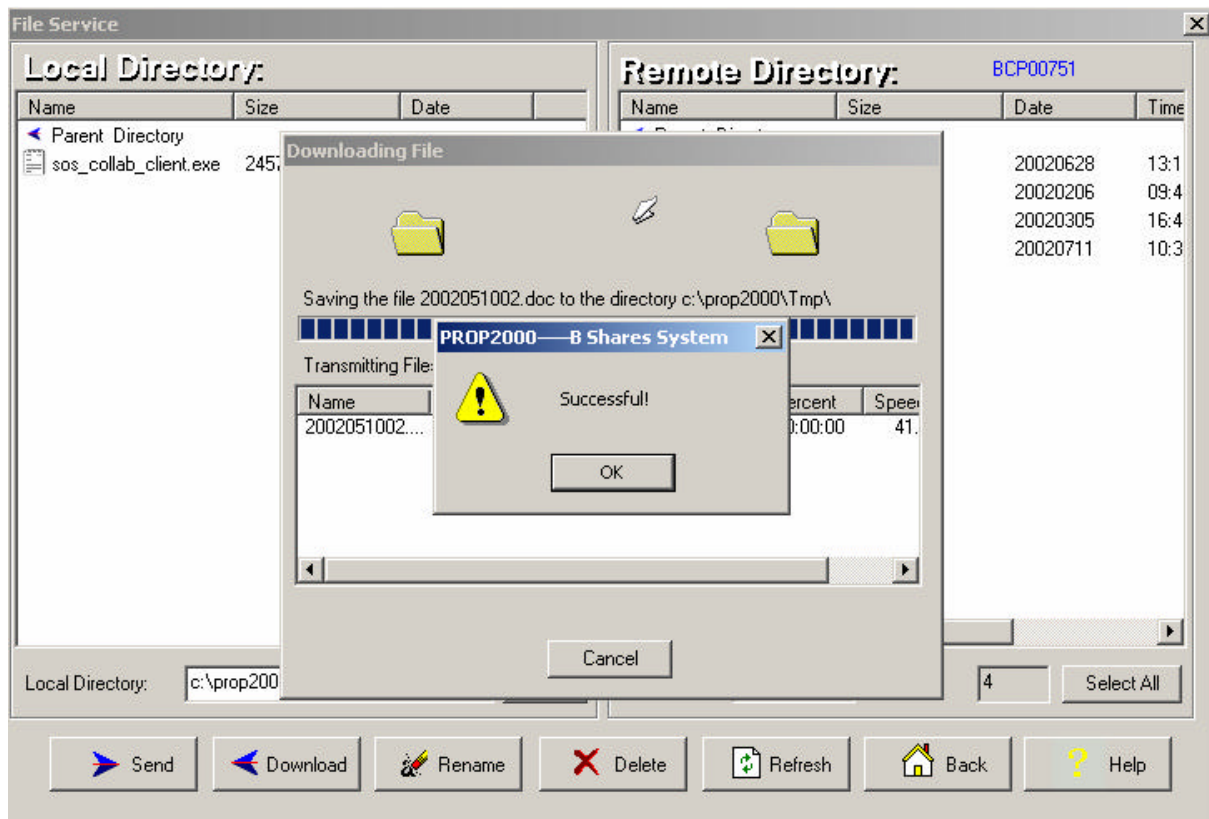


Figure 7-7 Download Files Dialog Box

File send and file download support retransmit at breakpoint. When file download resumes after transmission is interrupted, if the file exists, the system will ask whether to overwrite it as shown in the following Figure.

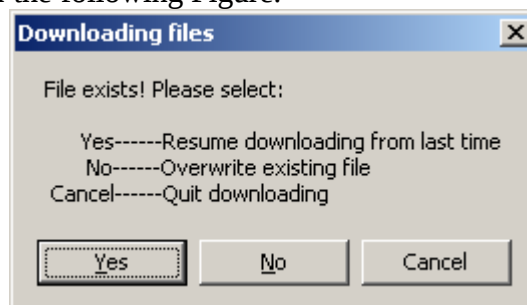


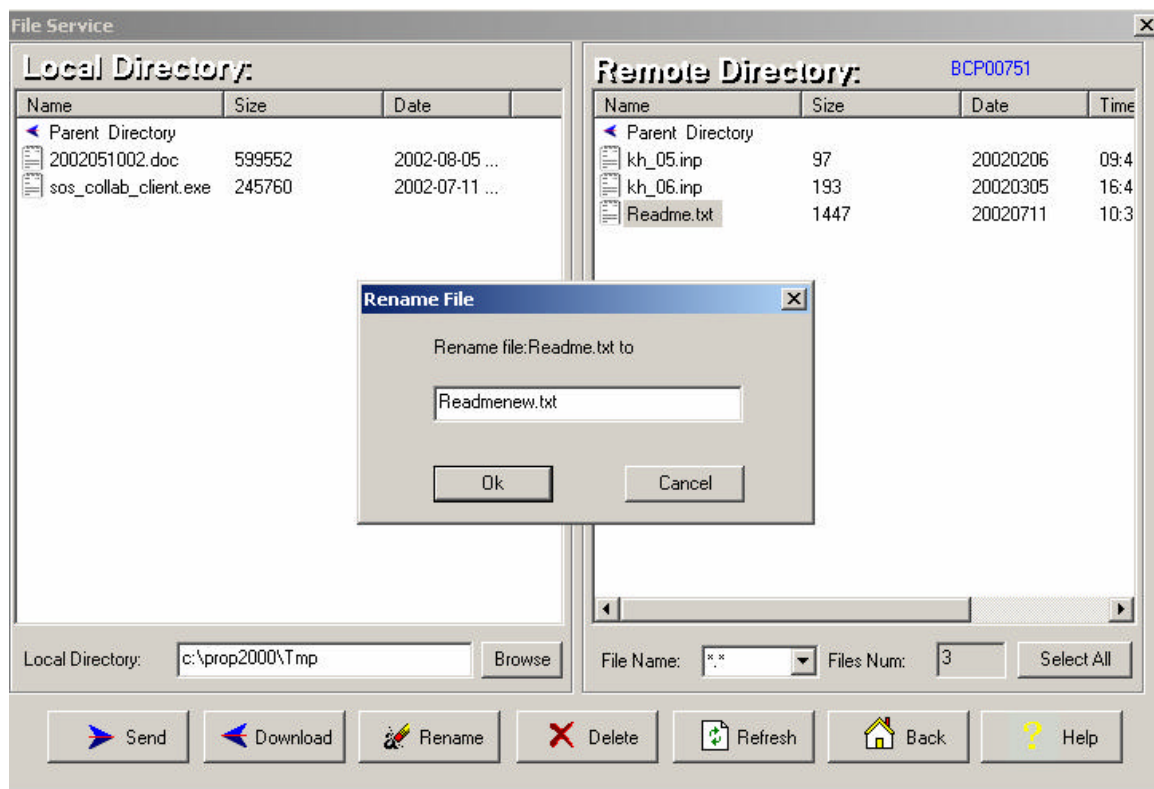
Figure 7-8

"Yes" indicates downloading the file from the breakpoint, and "No" indicates downloading the whole file. File transmission can be done whether to select "Yes" or "No".

If the downloaded files are compressed, they will be decompressed automatically. The size of downloaded file will be larger than that of the compressed file in Remote Directory.?

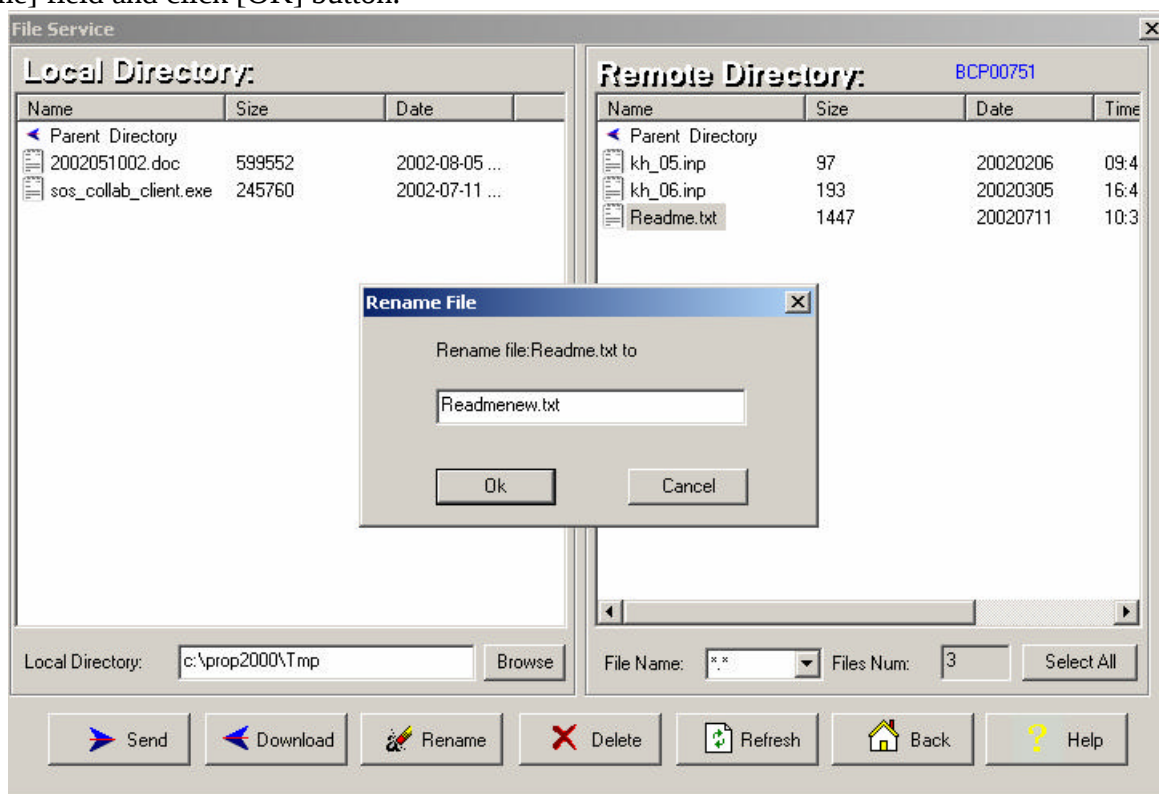
3. Delete file

Select files to delete in [Remote Directory] (pressing Ctrl button to select multiple files), and click the [Delete] button at the bottom of the dialog box. [Delete files] dialog box pops up, and click [Yes] button.

**Figure 7-9 Delete Files Dialog Box**

4. Rename file

Select files to rename in [Remote Directory], and click [Rename] button at the bottom of the dialog box. [Rename File] dialog box pops up; input a new name of the file in [New File Name] field and click [OK] button.

**Figure 7-10 Rename File Screen**

7.5 General Service

Through general service platform, various service (Account Opening, Account Information Update, etc .) forms of files created according to provisions of China Securities Depository & Clearing Corporation Limited Shanghai Branch can be connected with the server of China Securities Depository & Clearing Corporation Limited Shanghai Branch for exchange. The interface is extensible to flexibly handle various services. Select [Service] button in the main screen, and the system will display general service screen as shown in Figure 7-11.

The screenshot shows a software window titled "General Service". Inside, there's a "Request" section. It has a "Service Type" dropdown menu with a list of options: "User Message Inquiry", "Account Information Inquiry", "Account Opening", "Account Information Update", "Account Opening Confirmation Print", and "Account Opening Fee Inquiry". Next to it is a "Request File:" text box with a "Browse..." button. Below the dropdown is a "Submit" button. Underneath the "Request" section is a "Logs:" label followed by a table. The table has six columns: "Date", "Time", "Service Domain", "Service Name", "Service Type", and "Return Code". The table is currently empty. At the bottom of the window, there are two buttons: "Help" (with a question mark icon) and "Back" (with a house icon).

Figure 7-11 General Service Screen

Screen Information Description:

1. Service Type

The “Service Type” determines which service file template to use. The service file template is set up on the server terminal by China Securities Depository & Clearing Corporation Limited Shanghai Branch. At present, it supports: Account Opening, Account Information Update, etc. The service file template is expandable.

2. Request File

The user can browse to choose service files conforming to service file template from the local disk or network.

3. Details

The user can view content of service file.

4. Submit

The user can send the selected service file information to the server of CSDCC SH and receive the response.

Account Opening

Select [Account Opening] in Service Type. For Request File, select the account-opening data file with the prescribed form of CSDCC SH. Select [Details] to browse the account-opening data file as shown in Figure 7-12. Select [Submit], and PROP will transmit the account-opening data to CSDCC SH.

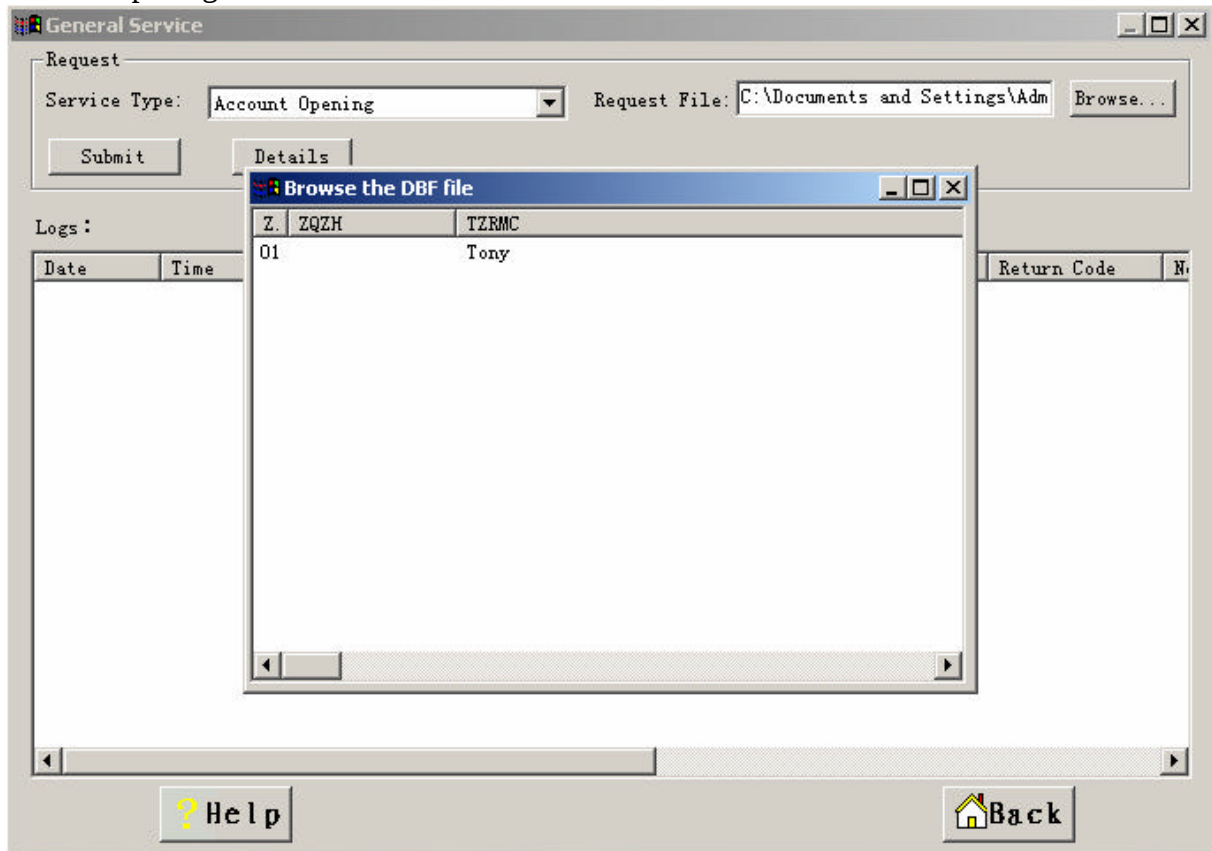


Figure 7-12 Browse the DBF File Screen

After submission completes , the system will present a response result. The returned information can be found in transaction log list. Select the account opening transaction in the transaction log and double click to display a screen as shown in Figure 7-13.

Figure 7-13 Account Opening Transaction Logs Screen

1. Service Type

2. User ID

3. Time

4. Return Code

5. Note

6. Request Records

7. Reply Records

8. Preceding

9. Next

10. Export

format.

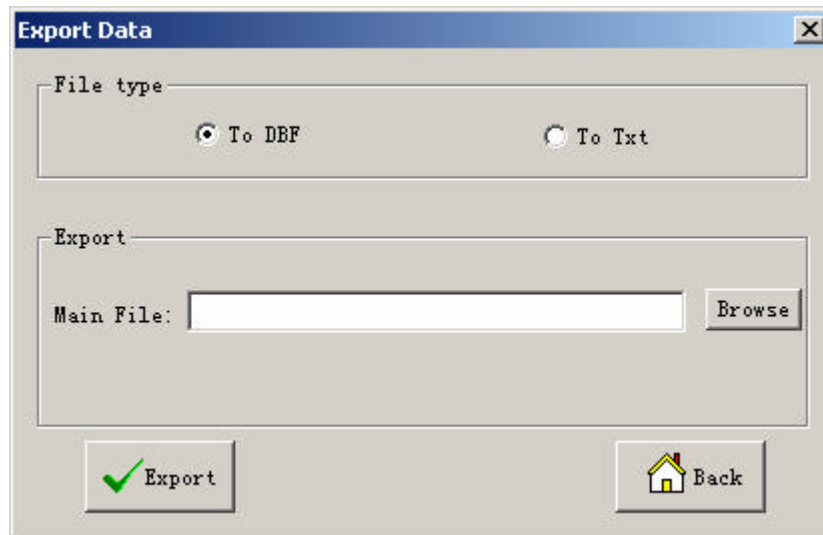


Figure 7-14 Export Data Screen

7.6 System Config

Select [Config] button in the main screen, and [System Config] information as shown in Figure 7-15 is displayed.

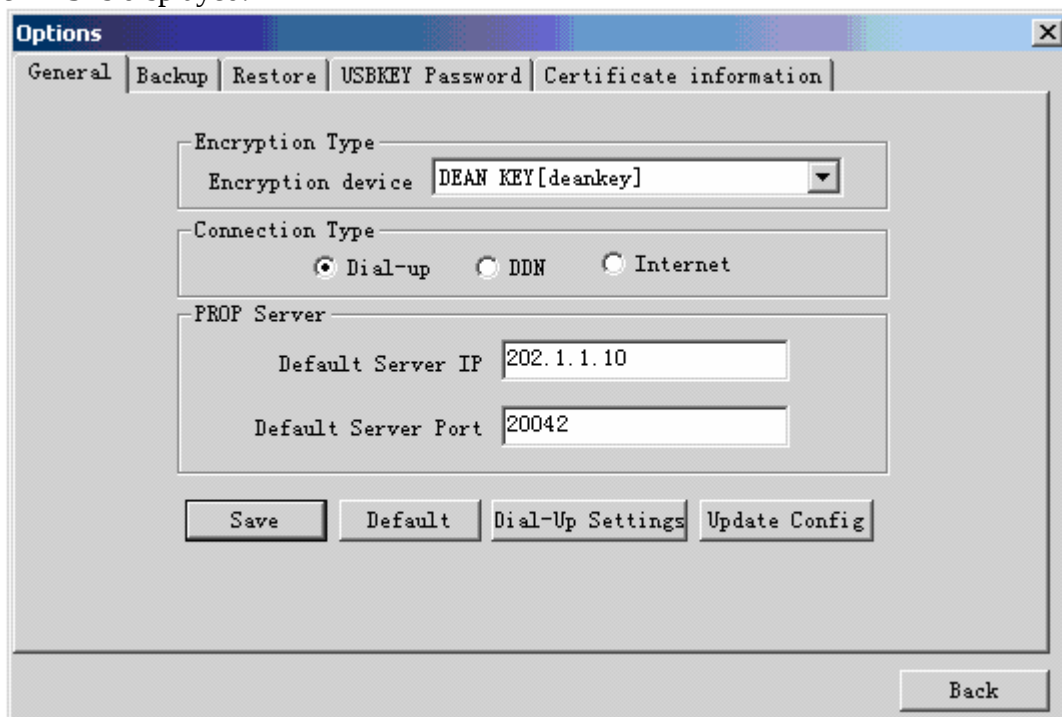


Figure 7-15 System Config Page

1. General

The page is used to set up local parameters.

1) Encryption Device

The user can select hardware or software types of encryption. If an encryption USBKEY is used, hardware encryption should be selected.

2) Connection Type

The type of connection with CSDCC SH. The user can select dialup network, DDN or Internet.

3) PROP Server

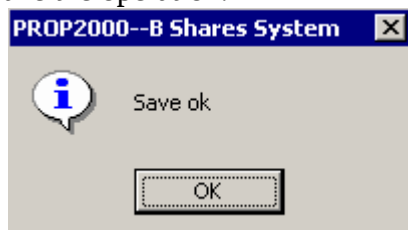
i) Default Server IP: Every connection type has its default server IP. The IP will be changed when you choose different connection type.

ii) Default Server Port: Default server port is 20042.

4) Save

Click [Save] button to save modification of the above three system parameters. The following figure will be displayed that the parameters has been saved successfully.

Click [OK] button to sure the operation.



5) Default

Click [Default] to use default value.

6) Dial-Up Settings

If the user selects dialup network to connect with CSDCC SH, dialup network configuration is needed.

Click [Dial-Up Settings], and the dialup configuration page as shown in Figure 7-16 will be displayed.

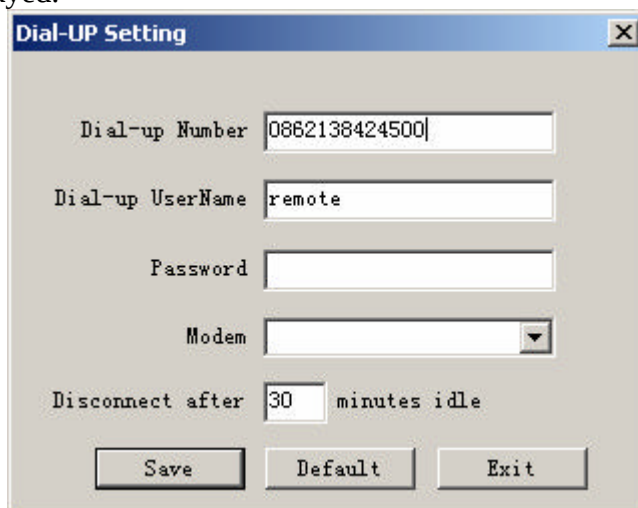


Figure 7-16 Dial-Up Settings Page

i) Dial-up Number

The phone number of the dialup server of CSDCC SH. For users in Shanghai area, dial 38424500, and 00862138424500 for users in oversea places.

ii) Dial-up UserName

The username of telnet dialup server: remote.

iii) Password

Users' password of dialup server. For remote user, leave Password empty.

iv) Modem

The user can select modem for dialup.

v) Disconnect after ...minutes idle

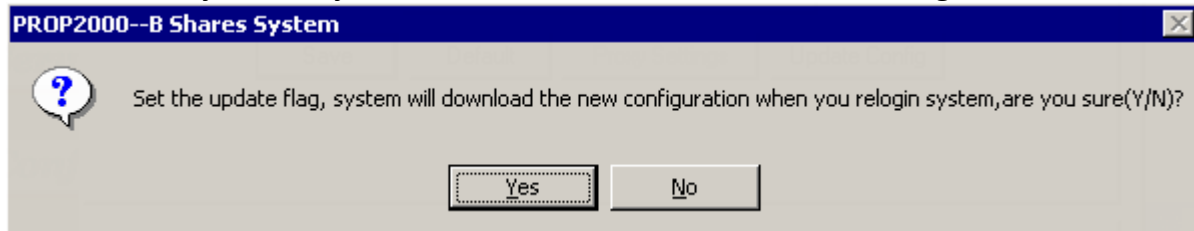
The user can set the idle time of the line. The system will automatically hand up the line according to the setting.

vi) Save/Default/Exit

Click [Save] button to take effect. Click [Default] button, the system will still use the original setting. Click [Exit] button to exit dial-up settings.

7) Update Config

Click [Update Config] button, the following figure will be displayed. The config file directory will be synchronized with the server terminal at next login.



2. Backup

Select [Backup], and backup page as shown in Figure 7-17 will be displayed.

The page is used to backup important data of overseas B Share system module. The user can use backed up data to recover the configuration when system has problems.

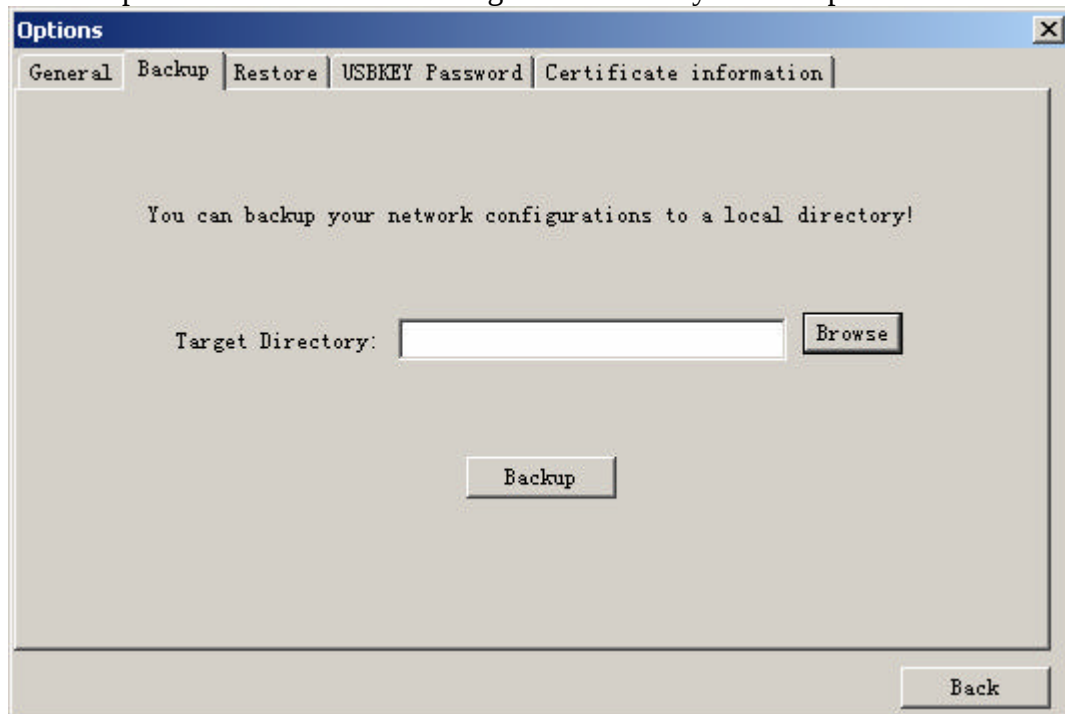


Figure 7-17 Backup Page

Click [Browse] to select a Target Directory to backup data. Click [Backup] button, important data of overseas B Share system module will then be backed up in the directory.

3. Restore

Click [Restore], and the restore page as shown in Figure 7-18 will be displayed.

The page is used to restore the previously backed up data.

Note: data can only be restored when the user has not logged in, namely the user should log in by clicking Back to enter instead of inputting user ID and Password.

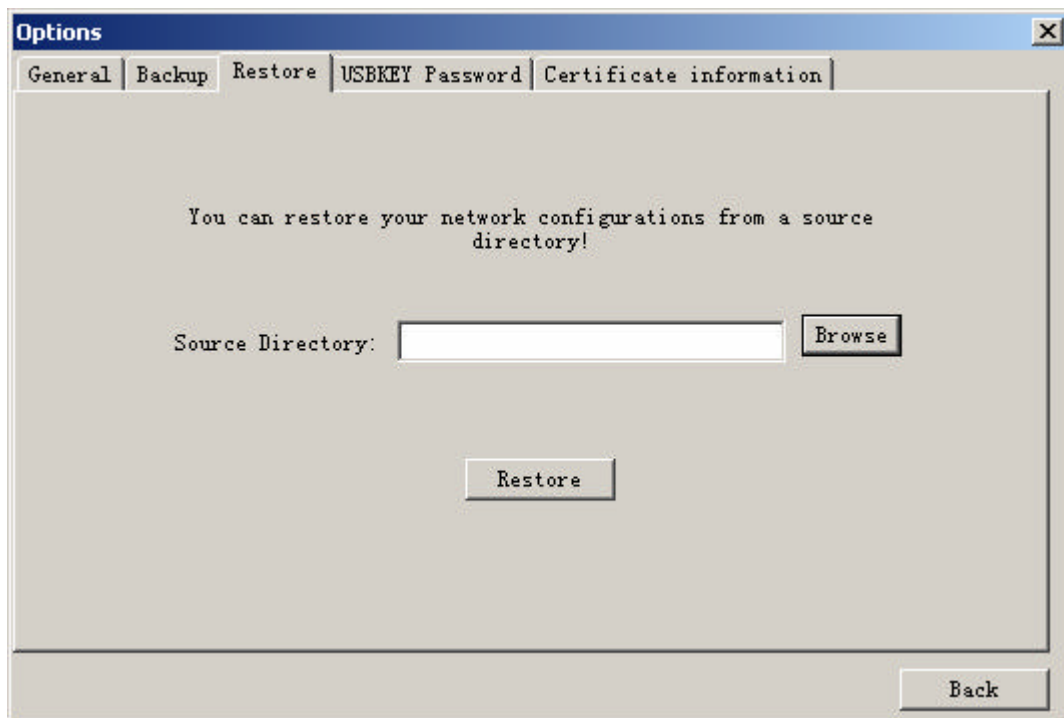


Figure 7-18 Data Restore Page

Click [Browse] to select the source directory of backup data. Click [Restore] button, the previously backup data will then be restored.

4. USBKEY Password

Click [USBKEY Password], and the page as shown in Figure 7-19 will be displayed. The page is used to change USBKEY password.

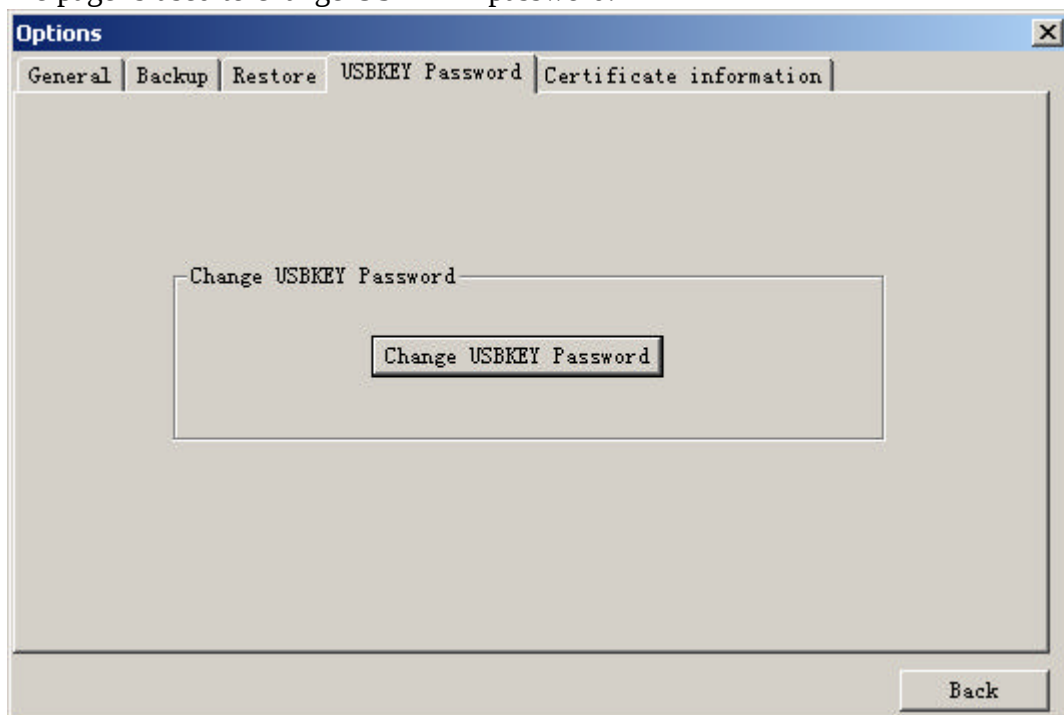


Figure 7-19 USBKEY Password Page

Click [Change USBKEY Password], and the following figure will be displayed.



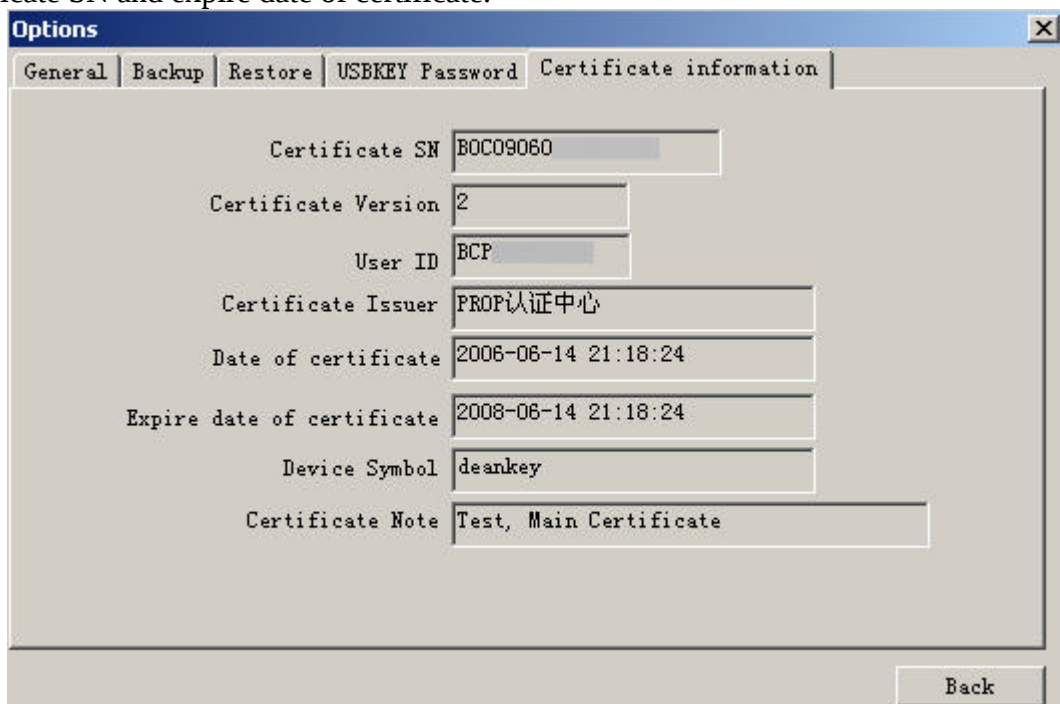
A dialog box titled "Change USBKEY Password" with a close button (X) in the top right corner. It contains three text input fields: "Old Password", "New Password", and "Confirm New Password". Below the fields are two buttons: "OK" and "Cancel".

Type old password, new password and confirm new password in fields. Click [OK] button to take effect.

5. Certificate information

Click [Certificate information], and the page as shown in Figure 7-20 will be displayed.

The page is used to check the certificate information. In this page users can find certificate SN and expire date of certificate.



A dialog box titled "Options" with a close button (X) in the top right corner. It has five tabs: "General", "Backup", "Restore", "USBKEY Password", and "Certificate information". The "Certificate information" tab is selected. The tab contains the following fields:

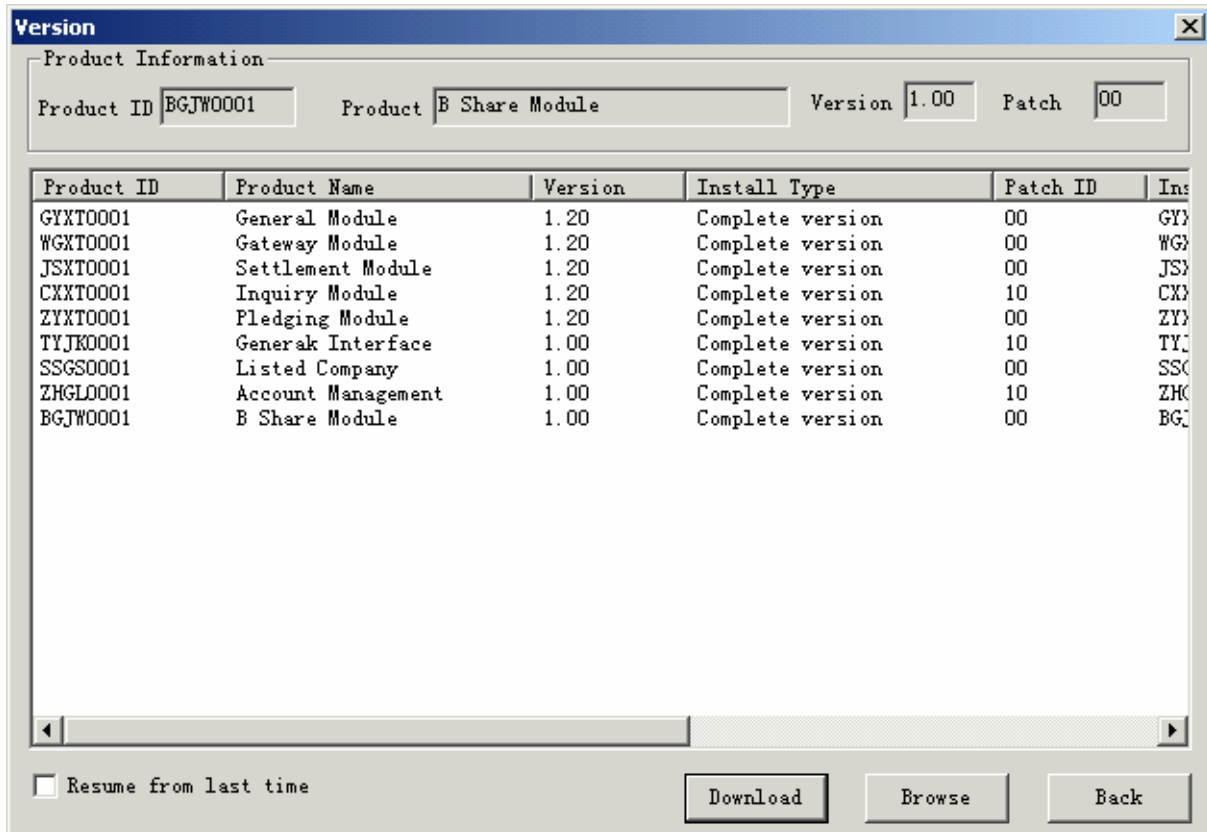
Certificate SN	BOC09060
Certificate Version	2
User ID	BCP
Certificate Issuer	PROP认证中心
Date of certificate	2006-06-14 21:18:24
Expire date of certificate	2008-06-14 21:18:24
Device Symbol	deankey
Certificate Note	Test, Main Certificate

At the bottom right of the dialog box is a "Back" button.

Figure 7-20 Certificate information Page

7.7 Version Config

Select [Version] button in the main screen, and [Version] information as shown in Figure 7-21 is displayed.



Product ID	Product Name	Version	Install Type	Patch ID	Ins
GYXT0001	General Module	1.20	Complete version	00	GY
WGXT0001	Gateway Module	1.20	Complete version	00	WG
JSXT0001	Settlement Module	1.20	Complete version	00	JS
CXXT0001	Inquiry Module	1.20	Complete version	10	CX
ZYXT0001	Pledging Module	1.20	Complete version	00	ZY
TYJK0001	Generak Interface	1.00	Complete version	10	TY
SSGS0001	Listed Company	1.00	Complete version	00	SS
ZHGL0001	Account Management	1.00	Complete version	10	ZH
BGJW0001	B Share Module	1.00	Complete version	00	BG

Figure 7-21 Version Information Page

Screen Information Description:

1. Product ID
Product identifier
2. Product
Product name
3. Version
Product version number
4. Patch
Product Patch number
5. Resume from last time
Automatic download file from last time

First select the Product that you want to download. Second click [download] button. Then system transmits selected files to corresponding directory of the local disk.

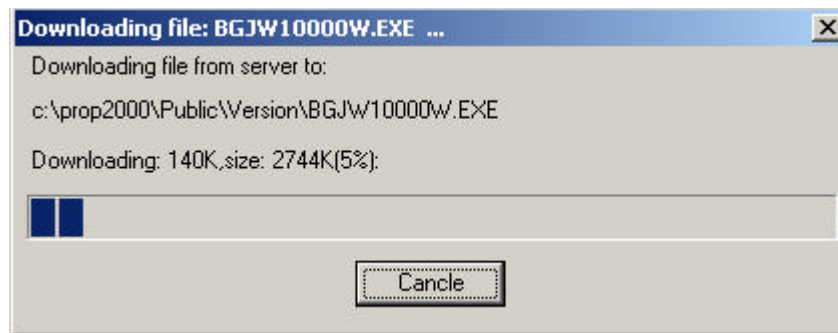


Figure 7-22 Downloading file Page

Click [Browse] button to show the file which you have downloaded.

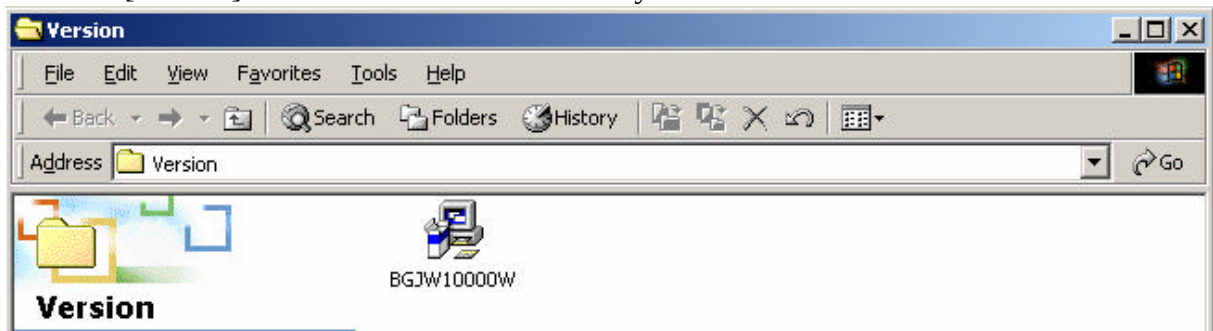


Figure 7-23 Downloaded file Page

Click [Back] button to exit [Version Config].



CHAPTER EIGHT ROUTINE MAINTENANCE

8.1 Common Errors and Solutions in System Login

1. Fail to login: Please check the username and the password.
Cause: the username or the password is incorrect.
Solution: reenter the correct username and password.
2. Fail to connect CSDCC SH.
Cause: the line to CSDCC SH is disconnected, or the server of CSDCC SH is shut down.
Solution: check whether the dialup network, DDN or Internet connection with the CSDCC SH is available.
3. This service is unavailable now.
Cause: the service progress of CSDCC SH has not started or it is closed.
Solution: contact CSDCC SH to see if the service process is normal.
4. Fail to login: (no message)
Cause: login time out
Solution: retry. If the problem still exists, please contact CSDCC SH.

8.2 Common Errors and Solutions in overseas B Share system module

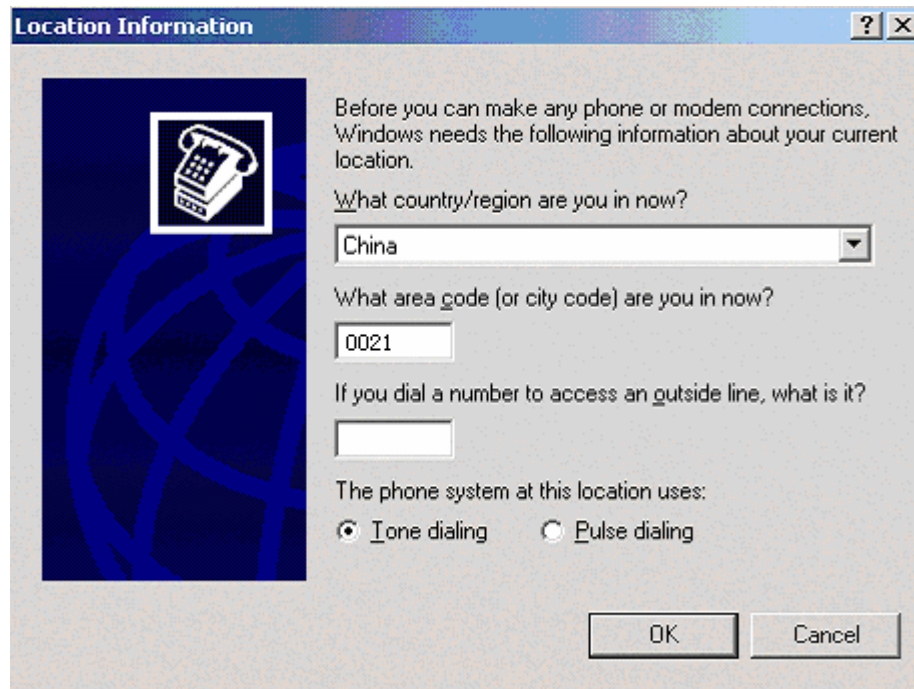
1. Print function does not work
Cause: as PROP adopts Windows' WRITE.EXE to print bulletin files, maybe WRITE.EXE is not installed or is damaged.
Solution: reinstall the WRITE.EXE application with Windows setup disc.
- 2 . System message: connection is reset by the server! Are you want to login again (y/n)
Cause: communication line is disconnected.
Solution: click [Yes] button to log in again.
- 3 . Cannot open the configuration file c:\PROP2000\public\config\config_e.dbf
Cause: the directory does not exist, or the configuration file is lost.
Solution: click Update Config in Config→General page.
- 4 . Cannot open log file: c:\PROP2000\client\data\GenralApp.zdb
Cause: the directory does not exist, or the file is read-only.
Solution: check the file's attributes, and cancel the read-only attribute.
5. The format of transaction file is not in line with that of the configuration file
Cause: the configuration file is damaged.
Solution: Click 'Update Config' button in Config →General page and login again.
6. You can only retransmit the transaction with no response.
Cause: the transaction is normal, as only transactions with no response can be retransmitted.
7. Other errors that files or logs cannot be opened.
Solution: please check whether the directory c:\PROP2000\client\data exists, or whether files in this directory are writable; if it does not exist, please create a directory of c:\PROP2000\client\data.

APPENDIX A:MODEM INSTALLATION, REMOTE ACCESS SERVICE CONFIGURATION AND DIALUP NETWORK CONFIGURATION

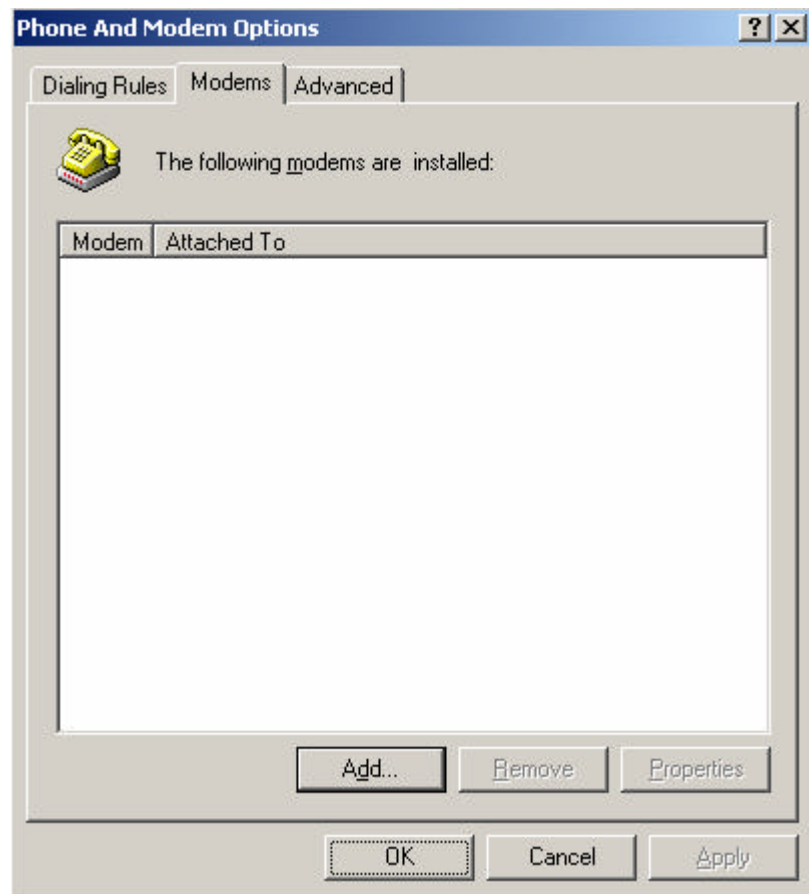
Before installation, please connect MODEM to a serial port and turn on the power.

Step 1

On the desktop, select [My Computer]→[Control Panel] →[Phone and Modem Option].
If it is the first time to install MODEM, a screen as shown in the following figure will be displayed.

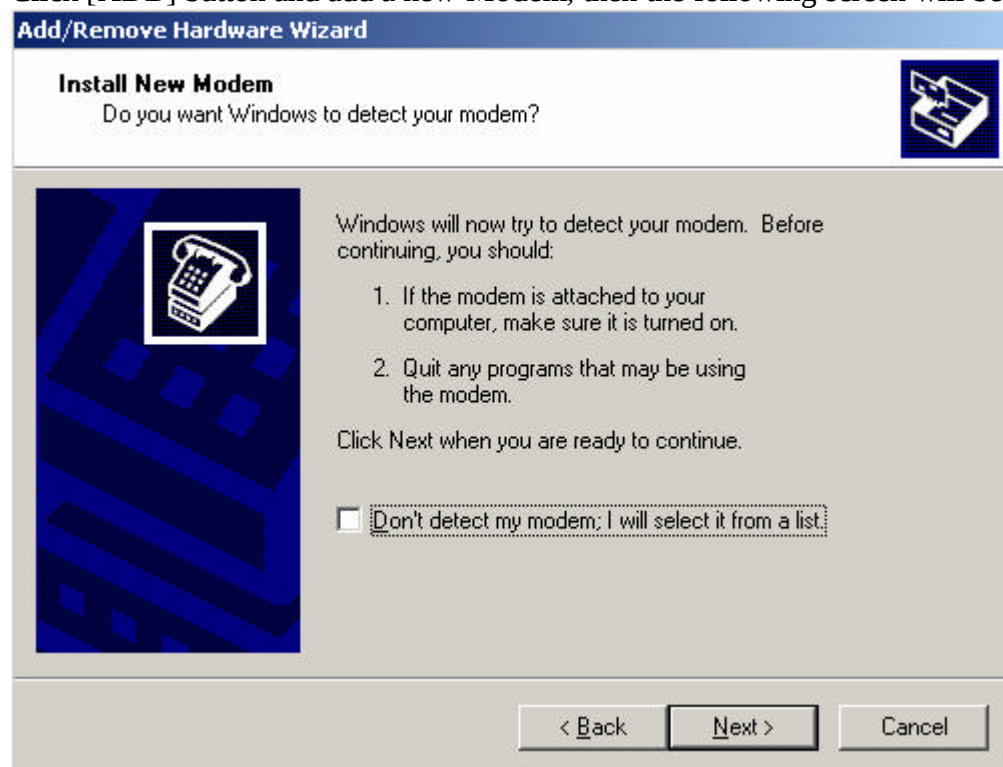


Step 2: click [OK] button, and a screen as shown in the following figure will be displayed.

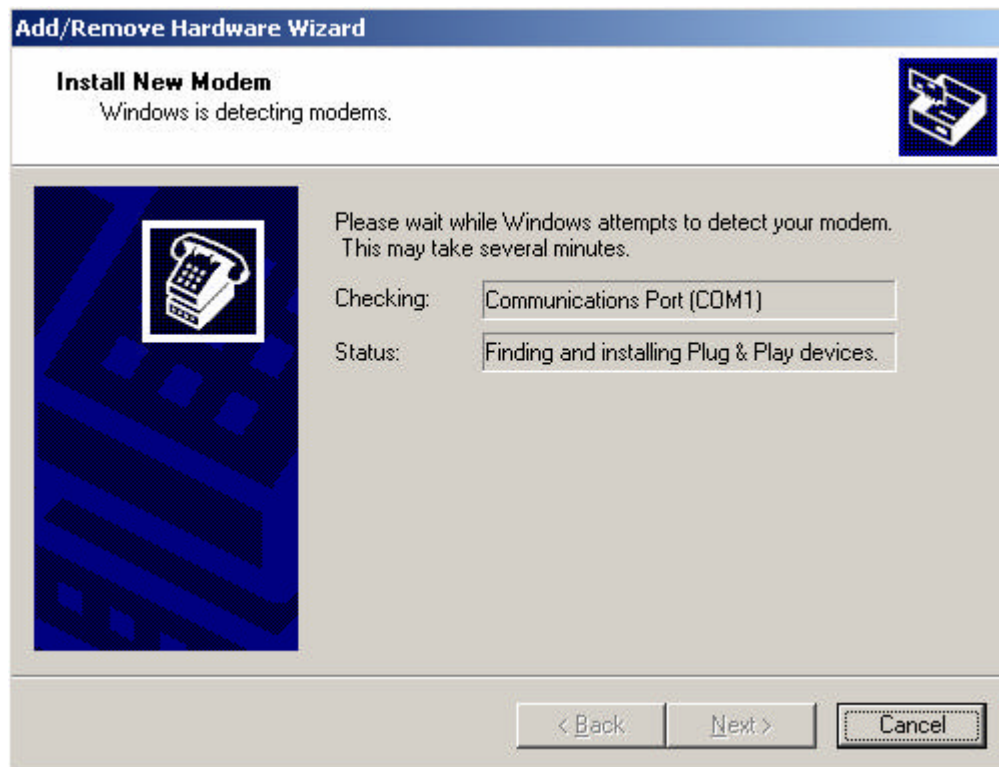


Step 3

Click [ADD] button and add a new Modem, then the following screen will be displayed.



Click [Next], and the system will automatically detect the modem. After finding the modem, a screen as shown in the following figure will be displayed.

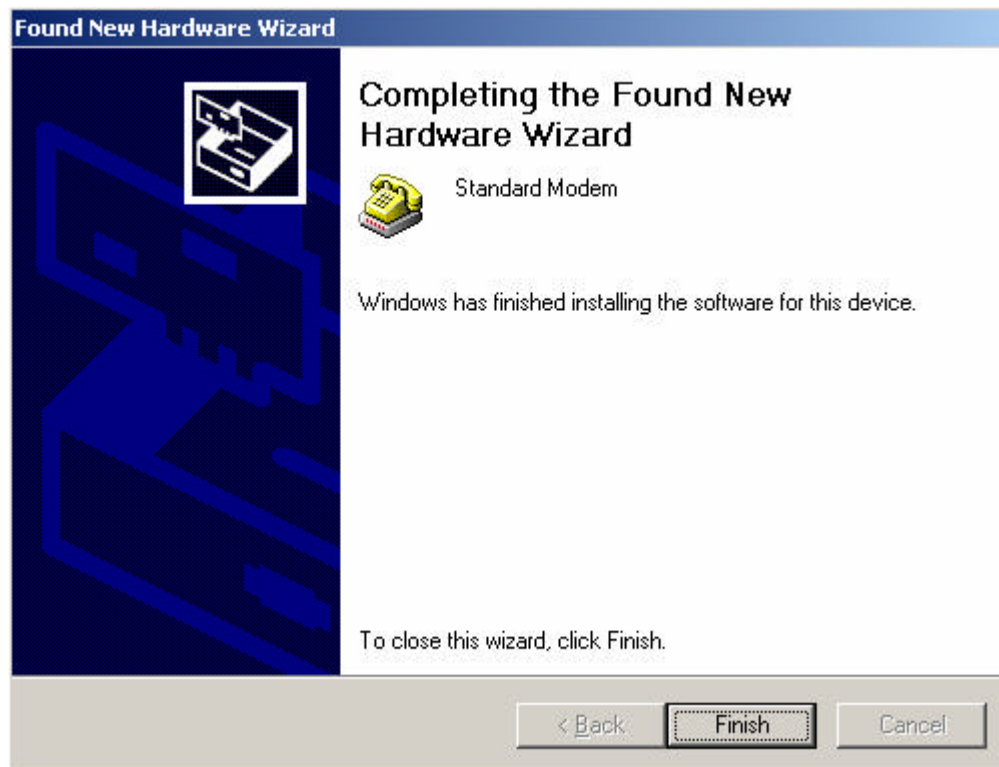


Step 4

Click [Next] button, and choose a corresponding driver.



Click [OK] button, and a screen as shown in the following figure will be displayed.



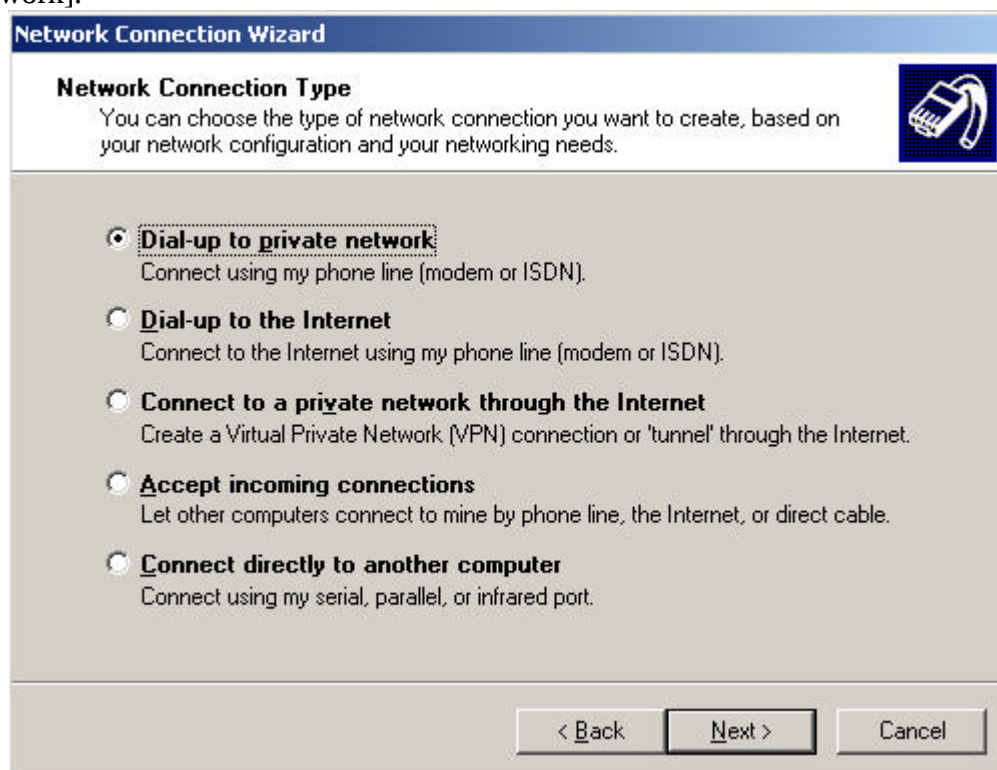
| Click [Finish] button.

APPENDIX B: CREATE A DIALUP NETWORK

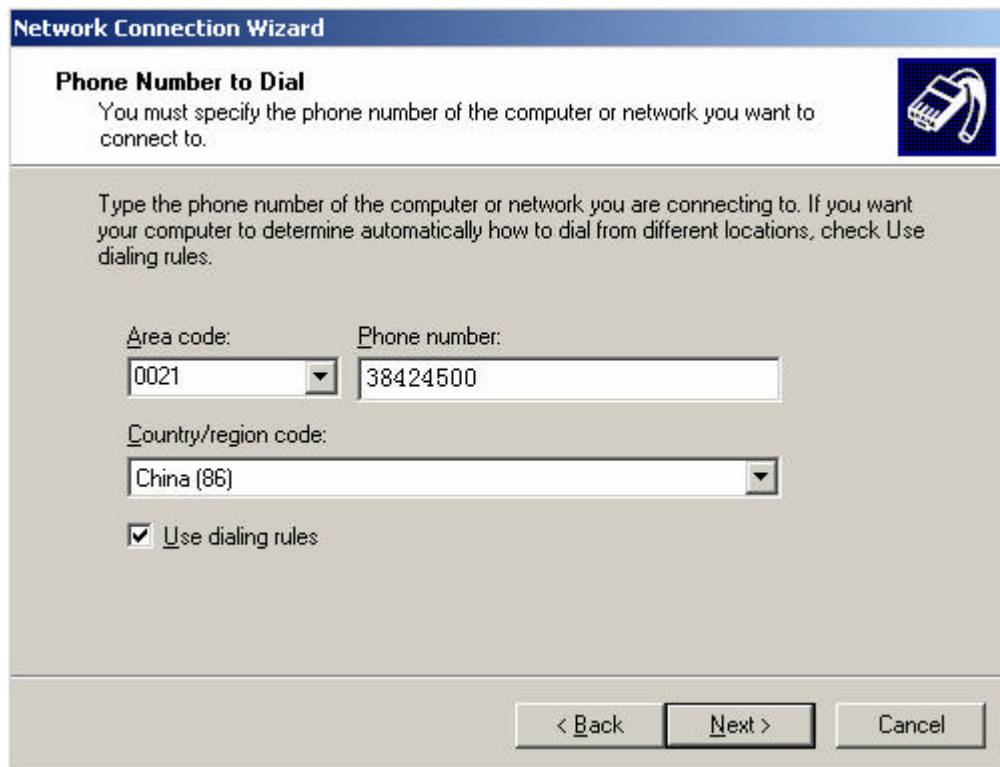
1. Select [Dial-up Networking] in [Control Panel], and double click [Make New Connection]; the following screen will be displayed.



2. Click [Next], and the following screen will be displayed; select [Dial-up to private network].



- Click [Next], and the following screen will be displayed. Type the phone number 38424500. If you are not in Shanghai, please click and select [Use dialing rules], and type the area code 0021.



Network Connection Wizard

Phone Number to Dial

You must specify the phone number of the computer or network you want to connect to.

Type the phone number of the computer or network you are connecting to. If you want your computer to determine automatically how to dial from different locations, check Use dialing rules.

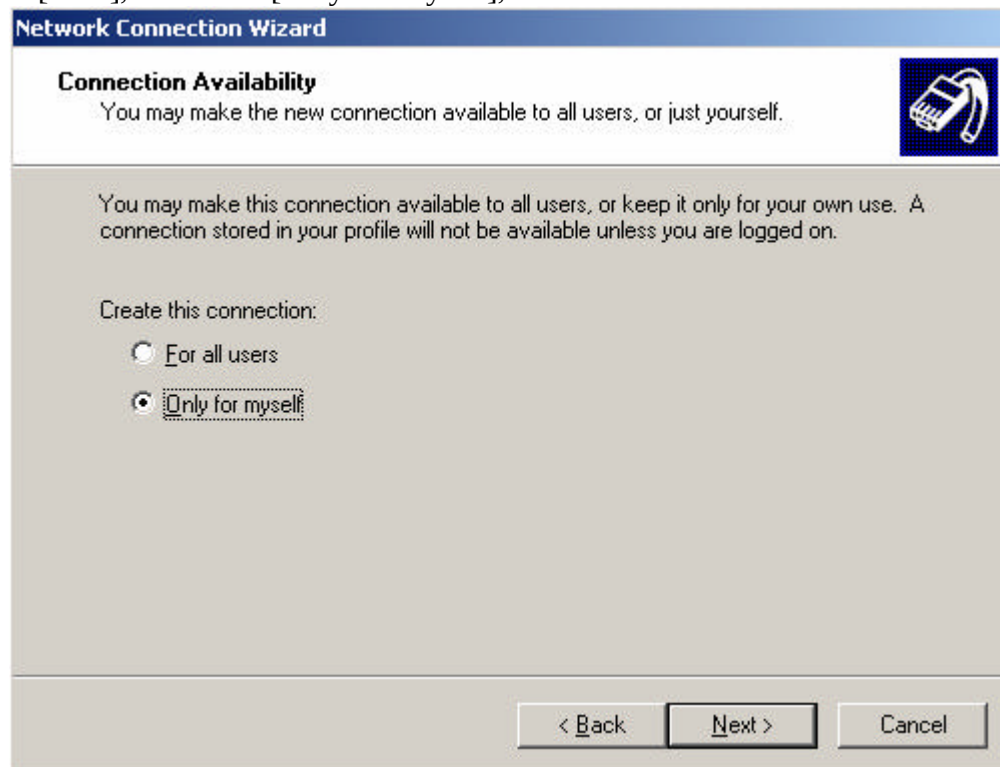
Area code: Phone number:

Country/region code:

☒ Use dialing rules

< Back Next > Cancel

- Click [Next], and select [Only for myself];



Network Connection Wizard

Connection Availability

You may make the new connection available to all users, or just yourself.

You may make this connection available to all users, or keep it only for your own use. A connection stored in your profile will not be available unless you are logged on.

Create this connection:

☐ For all users

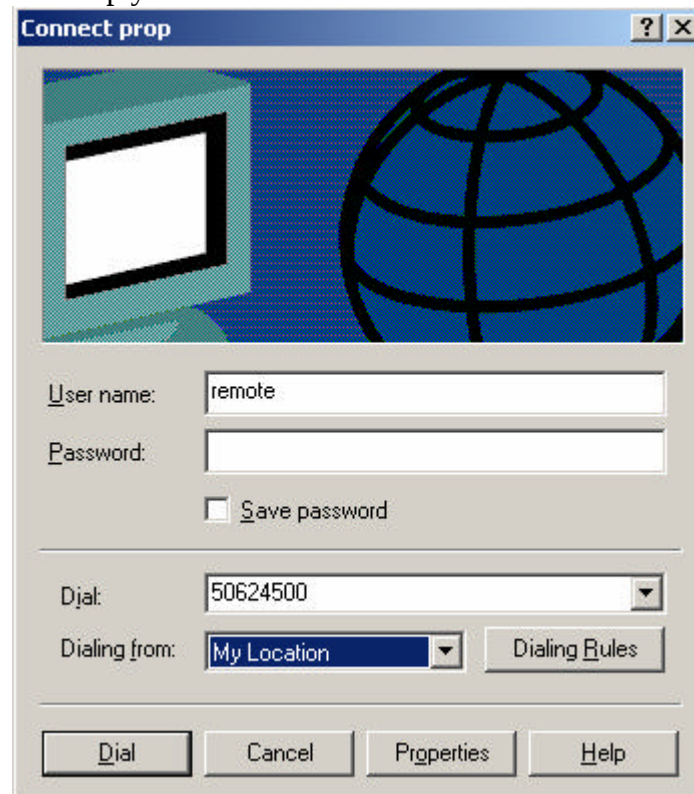
☒ Only for myself

< Back Next > Cancel

- Click [Next], and give the connection a name, such as: prop.



6. Click [Finish], and the following screen will be displayed. Type "remote" as User Name and leave Password empty.



**APPENDIX C:RESULTCODE FOR ACCOUNT OPENNING**

Message Code	Code Description
0000	Process finished successfully
0001	Wrong parameter
0002	Securities account should be empty
0003	Securities account is empty
0004	securities account does not exist
0005	This type of account already exists
0006	Unauthorized process
0007	Investor's name is too short
0008	Contact address is too short
0009	Wrong certificate category
0010	Wrong sex
0011	Wrong investor category
0012	Wrong nationality code
0013	Birth date should be empty
0014	Wrong educational qualification code
0015	Wrong profession code
0016	Contact tel is empty
0017	Legal representative should be empty
0018	Legal representative is too short
0019	Legal person category should be empty
0020	Wrong legal person category
0021	Enterprise category should be empty
0022	Wrong enterprise category
0023	Industry code should be empty
0024	Wrong Industry code
0025	Seat number should be empty
0026	Designated clearing member should be empty
0027	designated clearing member does not exist
0028	Non-domestic designated clearing member
0029	Account-opening member is non-PROP user
0030	account-opening member does not exist
0031	The member is suspended from the service
0032	Empty investor's abbreviation
0033	Invalid certificate code
0034	Certificate code is too short
0035	Empty certificate code
0036	Invalid birth date
0037	Birth date does not conform to ID card
0038	Wrong account-opening member
0039	Seat number does not conform to account-opening member
0040	Designated clearing member does not conform to account-opening member
0041	The investor already exists
0042	Investor category cannot be changed
0043	Certificate category cannot be changed
0044	Seat number cannot be changed
0045	Account-opening member cannot be changed
0046	Designated settlement member cannot be changed



0047	Name cannot be changed after nationality or certificate category or certificate code is changed
0048	Certificate code cannot be changed
0049	Fail to access to investor code
0050	Wrong A Share and B Share account number assignment
0051	Investor does not exist
0052	Empty seat number
0053	Wrong account category
0054	Invalid designated clearing member
0055	Invalid account-opening member
0056	Invalid seat number
0057	mailbox number does not exist
0058	Wrong operation type
0059	Invalid submitting organization
0060	Wrong number length of ID card
0061	Digit check error for ID card
0062	Wrong check bit of ID card
0063	Wrong region code of ID card
0064	Wrong birth date of ID card
0065	Investor does not exist
0066	Wrong number assignment of investor code
0067	ID card code transfer failure
0068	ID card birth date access failure
0069	investor's abbreviation access failure
0070	trading market access failure
0071	Name cannot be changed after nationality, certificate category or certificate code is changed
0072	Nationality, certificate code and certificate category cannot be changed after name is changed
0073	Invalid member code
0074	Wrong A Share account number assignment
0075	Wrong B Share account number assignment
0076	customer's modification control table update failure
0077	Investor cannot be cancelled for unclosed account
0078	Cancellation parameter check error
0079	Invalid account status
0080	Invalid operation type
0081	Invalid data receipt



0082	Invalid investor's name
0083	Name cannot be changed with nationality, certificate code and certificate category simultaneously
0084	Invalid mailbox number
0085	Date must be input
0086	Invalid date
0087	Change is prohibited for the investor has accounts frozen or reported loss.
0088	seat number does not exist
0089	Unauthorized affiliate
9999	File operation error



Thank you for using overseas B Share system Participant Remote Operation Platform (PROP) of China Securities Depository & Clearing Corporation Limited Shanghai Branch.

It is jointly developed by China Securities Depository & Clearing Corporation Limited Shanghai Branch and Fujian Shine Technology Co. Ltd.

Tel: 86-0021-62321666 86-0021-38874800

Website: <http://www.chinaclear.cn>